



CALIFORNIA High-Speed Rail Authority

BUSINESS ADVISORY COUNCIL MEETING AGENDA

DATE: Wednesday, September 21, 2016
TIME: 1:30 – 3:30 p.m.
LOCATION: 707 3rd Street, West Sacramento, CA – Room 1-205

ITEMS OF DISCUSSION

- I. Welcome & Introductions – Lisa Marie Alley**
- II. Approval of June 16, 2016 Business Advisory Council Meeting Minutes – Lisa Marie Alley**
- III. Prior Meeting Requests – Lisa Marie Alley**
- IV. Title VI Presentation**
 - Deborah Harper, Chief Administrative Officer
 - Diana Guzman, Equal Employment Opportunity Branch Manager
 - Alex McCracken, Title VI Program Manager
- V. Vendor Registry Presentation – Alice Rodriguez**
- VI. Project Updates**
 - Construction Package 1 – Maurice Young, TPZP
 - Construction Package 2-3 – John James, Dragados Flatiron
 - Construction Package 4 – Amanda Craft, California Rail Builders
- VII. Thursday – October 20, 2016 from 9:00 AM to 3:00 PM in Fresno, CA**
 - Tentative Schedule:
 - Construction Tour (9:00 AM – 12:00 PM) – *By Invitation Only*
 - Lunch (12:00 PM – 1:00 PM)
 - Council Meeting (1:00 PM – 3:00 PM)

VIII. Planning Meeting Committee Updates

- Professional Services – Fred Jordan
- Construction – Diana LaCome

Upcoming 2016 Meeting Dates

- IX. Thursday, December 8, 2016 from 1:00 – 3:00 PM in Sacramento**

ADHOC DISCUSSION

- X. Council Member and Public Comment**

BUSINESS ADVISORY COUNCIL MISSION STATEMENT

The Mission of the California High-Speed Rail Authority (Authority) Business Advisory Council (Council) is to cultivate a partnership between the Authority and its Small Business and contracting community. The Council will serve as a forum to provide essential input and advisement to the Authority in implementing its policies and practices that affect and/or impact Small Business utilization and participation in all of the Authority's contracting programs. The collaboration and insight will serve to advance the Authority's success in meeting its 30% Small Business Goal on this historic infrastructure project.

Reasonable Accommodation for Any Individual with a Disability

Any individual with a disability who requires reasonable accommodation to attend or participate may request assistance by contacting the Authority at (916) 324-1541. Requests for additional accommodations for the disabled, signers, assistive listening devices, or translators should be made no later than one week prior to the meeting.

CALIFORNIA HIGH-SPEED RAIL AUTHORITY

BUSINESS ADVISORY COUNCIL – Formal Request/Goal Tracking Form (as of September 20, 2016)

Council Chair - Lisa Marie Alley

Item #	Request / Goal	Submitted By	Request Date	Action Item Status	Status Details	Open For Discussion / Item Completed
6	Requested to separate participation requirement (30/10/3) for Professional Services within the Design Build Contract.	Professional Services Committee members	Feb. 17, 2016	Pending	On April 20, 2016 the PSC established members Frank, Shaw, Chaudhary (lead), and Krishnan would be responsible for the results of this goal. On June 16, 2016 this request was brought up again by the PSC during the full council. To determine if there is a significant gap in Professional Services small business utilization on design-build contracts, the Council has asked for a utilization report from CP 1 since their design work is nearly completed. Also reference item #21.	Pending
7	Requests to implement the small business goals on third party agreements.	Member Uden	March 2016	Pending	On April 20, 2016 the PSC established members Tavafrashi (lead), Jordan and Vargas would be responsible for the results of this goal. On April 25th, the Authority selected Terry Marcellus (RDP) and Alex McCracken (RDP) to complete further research, supporting or opposing, this issue and discuss their results with Chief Counsel, Tom Fellenz. BAC members will be notified upon deliberation.	Pending
10	Request the Authority to provide payment notifications when Primes are paid, either by email or posted on our website.	Professional Services Committee members	June 16, 2016	Pending	We are working with the CFO and the Finance and Audit Committee, to create a system to improve their current method(s) to ensure this action comes to fruition and will notify the BAC members when the new system is implemented.	Pending
11	Requested to know the percentage of Professional Services within SR-99's overall utilization and invite Caltrans personnel to attend one (1) future BAC meeting.	Member Nishinaga	June 16, 2016	Pending	The Authority will work with Caltrans at the October 20 meeting with the hope to complete this request.	Pending
14	Requested a decision from the Authority regarding Safe Harbor Rates.	BAC Council	June 16, 2016	Pending	Currently under review by the Authority.	Pending
15	Requested to be reimbursed for participating in the Authority's Business Advisory Council.	BAC Council	June 16, 2016	Pending	The Authority has conducted research for possible consideration of amending the travel reimbursement policy. This concern will be addressed with the Authority CEO.	Pending
22	Requested how the BAC mission statement relates to the role of the BAC members.	Construction Committee Members	June 16, 2016	Pending	The small business team is currently working to update the Authority's Small Business Program Plan. Changes to the Program Plan will consider the roles and responsibilities of BAC members. Such changes may require modifications to the existing BAC Operating Guidelines.	Pending

CALIFORNIA HIGH-SPEED RAIL AUTHORITY

BUSINESS ADVISORY COUNCIL – Formal Request/Goal Tracking Form (as of September 20, 2016)

Council Chair - Lisa Marie Alley

COMPLETED ITEMS

		Member Dean, LaCome and Guerrero	April 20, 2016	Completed	The Authority partnered with Caltrans to retrieve and generate the requested information. The document was included in the member packets during the June 16, 2016 meeting.	Completed - June 16, 2016
5	Breakdown of Caltrans' contract (SR-99) by Small Business goal, Small Business certification and the dollar value of each sub consultant's YTD expenses.			Completed	The team is creating two (2) reports to reflect the specifics of the BAC's request. The information will be distributed following the June 16, 2016 meeting. The reports cover the respective Construction Packages (CP), CP 1 and CP 2-3 small business utilization. This report will be included in the September 21, 2016 BAC member packets.	Completed - September 21, 2016
8	Requested contract specific utilization for Construction Packages - break down of the Primes' sub contractors.	Marvin Dean, Cunningham	April 20, 2016	Completed	Council Chair Alley acknowledged the request during the June 16, 2016 meeting and proposed to have the CFO attend one (1) BAC meeting per year.	Completed - June 30, 2016
9	Requested to have the Chief Financial Officer (CFO) attend more BAC meetings.	Member Dean	June 16, 2016	Completed	On June 16, 2016 Chair Alley agreed to distribute to BAC members a PDF copy of the meeting packets via email.	Completed - June 30, 2016
12	Requested electronic copies of BAC deliverables, now and moving forward.	Member Tavafrashiti	June 16, 2016	Completed	Scott Jarvis - Chief Engineer, has identified Dane Hudson - Design Build Manager/Construction Support, to attend the Construction Committee meetings, effective September 21, 2016.	Completed - September 21, 2016
13	Requested an Authority staff member with construction and engineering expertise to attend the Construction Committee meetings.	Construction Committee Members	June 16, 2016	Completed	Starting in September the Small Business Program monthly reports, inclusive of a BAC status report, will be included in the Board of Director member packets.	Completed - August 1, 2016
16	Requested to develop a reporting mechanism from the BAC to Authority's Board of Directors.	BAC Council	June 16, 2016	Completed	The Authority will include this information in an email to all BAC members.	Completed - June 30, 2016
17	The Authority will distribute links for the presentation videos displayed during the BAC meeting on June 16, 2016.	Council Chair Alley	June 16, 2016	Completed	Amanda's contact information will be included in the outgoing BAC email.	Completed - June 30, 2016
18	The Authority will provide BAC members with Amanda Craft's contact information. Amanda Craft is the Small Business Officer for California Rail Builders.	Alice Rodriguez	June 16, 2016	Completed	The Title VI team will deliver an update on Title VI activities at the September 21, 2016 council meeting.	Completed - September 21, 2016
19	BAC members are interested in learning more about Title VI. BAC requested to conduct a Title VI presentation at the next BAC meeting on September 21, 2016.	Council Chair Alley	June 16, 2016	Completed	The Authority will include this link in the outgoing email.	Completed - June 30, 2016
20	Requested Prompt Payment handout, referenced by Russell Fong during June 16 meeting.	BAC Council	June 16, 2016	Completed	The Authority will collaborate with Maurice Young and have this information available during the next BAC meeting on September 21, 2016.	Completed - September 21, 2016
21	Requested the percentage that has gone to small businesses for the design of CP 1.	Member Lau	June 16, 2016	Completed		Completed - June 30, 2016

KEY:

 indicates items completed since the June 16, 2016 BAC Meeting

California High-Speed Rail Authority
Construction Package 1- TPZP Contract Number HSR13-06
Summary of Construction SB/MB/DBE/DVBE Utilization

CP-1 TPZP Small Business Utilization Data¹ for period inception through 06/30/2016²

SB Applicable Contract Value [A]:	\$	1,224,209,444.22
Contract Paid [B]:	\$	239,824,820.46
SB/DBE/DVBE/MB Utilization Commitment [C]:	\$	302,808,220.27
Commitment % [C/A]:		24.74%
SB/DBE/DVBE/MB Paid Utilization [D]:	\$	40,682,534.25
Utilization % Based on Commitment [D/C]:		13.44%
Utilization % Based on Contract Paid to Date [D/B]:		16.96%

Construction SB Utilization	16.96%
SB	3.32%
DBE	6.46%
DVBE	5.03%
MB	2.15%

Utilization = SBE Dollars Paid to Date / TPZP Dollars Paid to Date.

	# of Construction Subcontracts	# of Vendor Subcontracts
SB	19	14
MB	23	12
DBE	24	1
DVBE	12	1
Total	78	28

Total SBE subcontracts: 106

Commitment/Attainment

Total SB Program Dollars Committed	\$	302,808,220.27	24.74%
Total SB Program Dollars Attained	\$	40,682,534.25	3.3232%
Total SB Dollars Committed	\$	53,806,286.63	4.40%
Total SB Dollars Attained	\$	7,958,035.84	0.6501%
Total MB Dollars Committed	\$	35,890,972.64	2.93%
Total MB Dollars Attained	\$	5,167,476.03	0.4221%
Total DBE Dollars Committed	\$	150,631,086.91	12.30%
Total DBE Dollars Attained	\$	15,500,654.12	1.2662%
Total DVBE Dollars Committed	\$	62,479,874.09	5.10%
Total DVBE Dollars Attained	\$	12,056,368.26	0.9848%

SB (33 contracts)	Eligible Commitment Value	Eligible Paid to Date ¹
Applied Earthworks	\$ 135,000.00	\$ 74,289.60
Innovative Concrete	\$ 3,072,396.00	\$ -
MVE, Inc.	\$ 151,615.00	\$ 140,750.00
Outback Materials	\$ 28,200,000.00	\$ 4,085,194.84
Pipe Jacking Unlimited, Inc.	\$ 4,308,110.00	\$ -
RailPros Inc.	\$ -	\$ -
Wild Electric	\$ 240,094.55	\$ 118,811.32
[AMEC] Salem Engineering	\$ 70,000.00	\$ 64,163.02
[AMEC] Taber Drilling	\$ 78,950.00	\$ 98,250.00
[AMEC] Technicon Engineering	\$ 25,000.00	\$ 115,333.00
[Becho] American Crane Rental	\$ 79,927.48	\$ 79,927.48
[Becho] Outback Materials	\$ 15,831,706.74	\$ 1,644,340.35
[J. Kroeker] American Crane Rental	\$ 169,653.48	\$ 168,519.88
[Valverde] American Crane Rental	\$ 15,000.00	\$ 9,806.70
[Valverde] Cencal Services*	\$ -	\$ -
[Valverde] National Coating & Lining	\$ 10,000.00	\$ -
[Valverde] Outback Materials	\$ 28,697.56	\$ 32,503.33
[Valverde] Wild Electric	\$ 10,000.00	\$ 5,360.00
[J. Kroeker-Katch] American Crane Rental	\$ 150,000.00	\$ 90,650.50
Alert-O-Lite	\$ 53,243.38	\$ 53,243.38
Alert-O-Lite (Valverde)	\$ 42,654.50	\$ 42,654.50
Charter Industrial Supply*	\$ 26,803.05	\$ 26,803.05
Constar Supply	\$ 303,916.20	\$ 303,916.20
Core Business Interiors	\$ 254,876.54	\$ 254,876.54
Dumont Printing	\$ 28,865.74	\$ 28,865.74
Facility Designs	\$ 3,000.00	\$ 3,000.00
Piranha Pipe & Precast (Valverde)	\$ 249,876.20	\$ 249,876.20
Saf-T-Co Supply (Valverde)	\$ 2,980.61	\$ 2,980.61
Sequoia Equipment Company	\$ 432.07	\$ 432.07
Shasta Welding Supply, Inc.	\$ 121,002.89	\$ 121,002.89
Valley Iron Works, Inc.	\$ 129.86	\$ 129.86
Ventura TV Video Appliance	\$ 4,840.63	\$ 4,840.63
Western Traffic Supply	\$ 137,514.15	\$ 137,514.15
Total	\$ 53,806,286.63	\$ 7,958,035.84

MB (35 contracts)	Eligible Commitment Value	Eligible Paid to Date ³
CMG Hydroseeding Inc.	\$ 125,349.50	\$ 43,015.50
Garavaglia Architecture, Inc.	\$ 106,970.00	\$ 74,781.13
J. Kroeker (demo)	\$ 9,844,563.32	\$ 1,953,113.20
J. Kroeker (clear&grub)	\$ 304,119.50	\$ 82,737.37
JMA Civil, Inc.	\$ 353,888.00	\$ 148,294.86
JRP Historical Consulting	\$ 181,966.00	\$ 138,588.17
Madco Electric	\$ 55,995.00	\$ 47,381.25
SPER Contracting Corp.	\$ 19,909,864.18	\$ -
Tommy's Water Truck*	\$ 50,000.00	\$ 3,960.00
WKE, Inc.	\$ 3,516,961.00	\$ 1,499,768.07
[AMEC] Geovision	\$ 41,200.00	\$ 39,187.18
[AMEC] Kehoe Testing	\$ 136,464.00	\$ 109,926.00
[EMI] 2R Drilling	\$ 169,537.25	\$ 169,537.25
[EMI] Kehoe Testing	\$ 70,579.00	\$ 70,579.00
[EMI] Middle Earth Geotesting	\$ 16,135.00	\$ 16,135.00
[EMI] SoCal Drilling	\$ 112,624.25	\$ 133,050.25
[J. Kroeker] Leon Environmental	\$ 105,000.00	\$ 136,535.00
[J. Kroeker] Parrish Hansen	\$ 100,000.00	\$ -
[Katch] J. Kroeker (pipe chase)	\$ 7,911.00	\$ 7,911.00
[Katch] J. Kroeker (tank removal)	\$ 15,000.00	\$ 12,820.66
[Katch] J. Kroeker (abatement)	\$ 48,110.00	\$ 107,459.50
[Katch] Tommy's Water Truck	\$ 200,000.00	\$ 3,960.00
[Valverde] Matt-Chlor, Inc.	\$ 50,000.00	\$ -
Canyon Fork Ace Hardware*	\$ 1,277.03	\$ 1,277.03
CH Bull Company*	\$ -	\$ -
Clovis Stationary	\$ 203,883.31	\$ 203,883.31
Contect Hoist & Rigging*	\$ 10,732.74	\$ 10,732.74
Jones Heavy Haul	\$ 22,186.25	\$ 22,186.25
Reprographic Machine Sales Co.*	\$ 377.15	\$ 377.15
SignMax	\$ 2,296.87	\$ 2,296.87
TNJ/Harris Electric, Inc.	\$ 9,992.81	\$ 9,992.81
Tommy's Water Truck (Valverde)	\$ 33,315.00	\$ 33,315.00
Valley Coffee	\$ 29,359.68	\$ 29,359.68
Valley Shredding	\$ 3,321.95	\$ 3,321.95
Ventura Consulting Group	\$ 51,992.85	\$ 51,992.85
Total	\$ 35,890,972.64	\$ 5,167,476.03

DBE (25 contracts)	Eligible Commitment Value	Eligible Paid to Date ³
Avison Construction, Inc.	\$ 20,154,899.00	\$ 75,947.99
BBL Tatum Trucking*	\$ 50,000.00	\$ 16,665.00
Blair, Church & Flynn	\$ 3,453,530.00	\$ 2,821,266.61
Bradley Tanks, Inc.	\$ 11,306,727.68	\$ 27,232.17
CHS Consulting Group	\$ 1,302,954.00	\$ 279,116.88
Dillard Environmental	\$ 8,179.20	\$ 8,179.20
Earth Mechanics, Inc.	\$ 2,527,273.50	\$ 1,600,137.49
Hunsaker Safety & Sign	\$ 122,735.00	\$ 110,160.61
Landavazo Bros.	\$ 9,527,000.00	\$ 169,246.06
Lee Andrews Group	\$ 249,789.08	\$ 237,299.60
Martinez Steel Corp	\$ 82,962,588.44	\$ 4,019,617.58
MGE Engineering	\$ 1,239,563.00	\$ 668,925.49
Mountain Pacific	\$ 108,180.00	\$ 87,394.38
Oliveira Fence	\$ 11,129,477.50	\$ 48,051.00
OPAC Consulting	\$ 854,345.00	\$ 811,627.74
Valverde Construction ⁴	\$ 3,099,645.93	\$ 3,099,645.93
Wreco, Inc.	\$ 1,842,672.00	\$ 943,126.37
[AMEC] Jet Drilling	\$ 86,336.50	\$ 86,336.50
[Valverde] Associated Traffic Safety	\$ 10,000.00	\$ 29,876.25
[Valverde] Avison Construction, Inc.	\$ 150,000.00	\$ -
[Valverde] Exaro Technologies	\$ 209,760.00	\$ 175,370.19
[Valverde] Hunsaker Safety & Sign	\$ 176,123.73	\$ 176,123.73
[Valverde] Lalonde Equipment	\$ 9,307.35	\$ 9,307.35
[Valverde] Super Seal & Stripe	\$ 50,000.00	\$ -
Associated Traffic Safety*	\$ -	\$ -
Total	\$ 150,631,086.91	\$ 15,500,654.12

DVBE (13 contracts)	Eligible Commitment Value	Eligible Paid to Date ³
Dave Trucking	\$ 7,130,550.00	\$ 4,884.24
G&C Equipment (lumber)	\$ 1,819,758.01	\$ 659,373.71
G&C Equipment (steel beams)	\$ 4,191,845.49	\$ 2,596,462.22
G&C Equipment (forms)	\$ 885,088.64	\$ 375,361.67
G&C Equipment (steel box girders)	\$ 2,591,013.21	\$ 1,718,281.39
G&C Equipment (shear blocks)	\$ 693,388.71	\$ 138,384.85
Katch Environmental	\$ 7,578,979.00	\$ 4,690,828.36
Moore Twining	\$ 3,596,910.90	\$ 313,572.00
The 111th Group	\$ 139,750.00	\$ 42,250.00
USC Supply (62)	\$ 103,438.04	\$ -
USC Supply (63)	\$ 233,117.66	\$ 245,301.77
Valverde Construction ⁴	\$ 33,503,659.43	\$ 1,259,293.05
Caring & Loving	\$ 12,375.00	\$ 12,375.00
Total	\$ 62,479,874.09	\$ 12,056,368.26

*Denotes new procurement for June 2016.

¹Includes all approved SBE subcontractor and vendor commitment amounts and dollars paid to date.

²Verified data only from all Form 103's, Prompt Payment, change orders and approvals submitted through the reporting period. Does not include data from unapproved SBE firms.

³Eligible Paid to Date reflects all dollars paid to the firm minus payments made to their lower tier subcontractor(s).

⁴Contract not included in DBE contract total, same contract as listed in DVBE. Valverde crediting split between dual certifications.

⁵Eligible Commitment Value for Vendors reflect the total dollars paid to the vendor from inception through the end of the reporting period. Eligible Commitment Values for subcontractors reflect original contract value inclusive of all Authority approved change order activity minus commitment value for their lower tier subcontractor(s).

⁶Prepared on 08/31/2016 for September 2016 Construction Update.

**California High Speed Rail Authority
Construction Package 2-3 DFJV Contract Number HSR 13-57
Summary of Construction SB/MB/DBE/DVBE Utilization**

CP 2-3 DFJV Small Business Utilization Data¹ for period inception through 06/30/2016²

SB Applicable Contract Value [A]	\$	1,176,103,890.00
Contract Paid [B]	\$	184,608,211.85
SB/DBE/DVBE/MB Utilization Commitment [C]	\$	6,758,160.73
Commitment % [C/A]		0.5746%
SB/DBE/DVBE/MB Paid Utilization [D]	\$	766,119.12
Utilization % Based on Commitment [D/C]		11.3362%
Utilization % Based on Contract Paid to Date [D/B]		0.4150%

All Construction SB Utilization	0.4150%
SBE	0.0181%
DBE	0.0866%
DVBE	0.0000%
MB	0.3102%

Utilization = SBE Dollars Paid to Date/DFJV Dollars Paid to Date

	# of Subcontractors	# of Vendors
SBE	1	4
MB	6	11
DBE	6	6
DVBE	2	0
Total	15	21

Total SB Subcontractors and vendors: 36

	Dollars	Percentage of DFJV Contract
SB Dollars Committed	\$ 6,758,160.73	0.5746%
SB Dollars Attained	\$ 766,119.12	0.0651%
SBE Dollars Committed	\$ 33,451.50	0.0028%
SBE Dollars Attained	\$ 33,451.50	0.0028%
DBE Dollars Committed	\$ 1,301,287.03	0.1106%
DBE Dollars Attained	\$ 159,961.39	0.0136%
DVBE Dollars Committed	\$ 3,817,877.82	0.3246%
DVBE Dollars Attained	\$ -	0.0000%
MB Dollars Committed	\$ 1,605,544.38	0.1365%
MB Dollars Attained	\$ 572,706.23	0.0487%

CP 2-3 Small Businesses (Includes Subcontractors and Vendors)

SB (5 contracts)	Eligible Commitment Value ⁴	Eligible Paid to Date ³
Core Business Interiors [V]	\$ 19,530.55	\$ 19,530.55
Drumright's Office Supply [V]	\$ 8,601.58	\$ 8,601.58
Matson Alarm Company [V]	\$ 4,649.02	\$ 4,649.02
Technicon (Group Delta/Jacobs)	\$ -	\$ -
Valley Communications [V]	\$ 670.35	\$ 670.35
Total	\$ 33,451.50	\$ 33,451.50

MB (17 contracts)	Eligible Commitment Value ⁴	Eligible Paid to Date ³
Advanced Commercial Cleaners [V]	\$ 15,272.51	\$ 15,272.51
Constar Construction Supply [V]	\$ 17,447.31	\$ 17,447.31
CV Design Interiors [V]	\$ 266,076.61	\$ 266,076.61
Dewalt Corporation (DFJV)	\$ 15,500.00	\$ -
Dewalt Corporation (Jacobs)	\$ 9,296.00	\$ -
Global Leadership Alliance [V]	\$ 119,544.56	\$ 119,544.56
IS Architecture (Dudek)	\$ 465,000.00	\$ -
LCP Tracker [V]	\$ 19,950.00	\$ 19,950.00
Mountain Pacific Inc.	\$ 300,000.00	\$ -
Need a Tech HVAC [V]	\$ 2,500.00	\$ 2,500.00
North State Electric [V]	\$ 6,829.00	\$ 6,829.00
PCCI	\$ 351,500.00	\$ 118,457.85
Sierra Lock and Glass [V]	\$ 2,190.64	\$ 2,190.64
Steele Printing [V]	\$ 243.51	\$ 243.51
TC Printing [V]	\$ 2,429.24	\$ 2,429.24
Valley Wide Pest Control [V]	\$ 1,765.00	\$ 1,765.00
Western Properties InContext (Dudek)	\$ 10,000.00	\$ -
Total	\$ 1,605,544.38	\$ 572,706.23

DBE (12 contracts)	Eligible Commitment Value ⁴	Eligible Paid to Date ³
Ace Fence Company [V]	\$ 1,967.00	\$ 1,967.00
Aardvark Biological Services	\$ 92,980.04	\$ -
Alert-O-Lite	\$ 118,506.00	\$ -
Alert-O-Lite [V]	\$ 340.66	\$ 340.66
Bess Test Lab (Group Delta/Jacobs)	\$ -	\$ -
IDC Engineers (Jacobs)	\$ 500,000.00	\$ -
James Transportation Group	\$ 500,000.00	\$ 122,160.40
JLS Global Metro Security [V]	\$ 5,175.00	\$ 5,175.00
MJ Avila	\$ 52,000.00	\$ -
Phoenix Private Security [V]	\$ 7,867.00	\$ 7,867.00
Rupert Construction Supply [V]	\$ 7,513.87	\$ 7,513.87
Touch of Green Landscape [V]	\$ 14,937.46	\$ 14,937.46
Total	\$ 1,301,287.03	\$ 159,961.39

DVBE (0 contracts)	Eligible Commitment Value ⁴	Eligible Paid to Date ³
Kaich Environmental	\$ 3,817,877.82	\$ -
Moore Tanning (Group Delta/Jacobs)	\$ -	\$ -
Total	\$ 3,817,877.82	\$ -

(Higher Tier Sub)
[V]

The listed name is a sub-tier of the contractor listed in parenthesis
Vendor

Pending SB Commitments (2 contracts)⁶

Subcontractor	Scope of Work	Commitment Value	Certification
347 Group	Building Assessment	\$ -	DVBE
Area West Environmental	Environmental Support Services	\$ 25,524.00	DBE
Total		\$ 25,524.00	

Unsolidified Commitments (2 Contracts)⁷

Subcontractor	Scope of Work	Proposed	Certification
Bradley Tanks	Holding Tanks	\$ -	DVBE
Rupert Construction Supply	Pipe Supply	\$ -	DBE
USC Supply	Pipe Supply	\$ -	DVBE

¹Includes all approved SBE subcontractor and vendor commitment amounts and dollars paid to date, as listed on DFJV's June 2016 Form 103A and June 2016 Form 103B reports.

²Verified data only from all Form 103's, Prompt Payment, change orders and approvals submitted through the reporting period. Does not include data from unapproved SBE firms

³Eligible Paid to Date reflects all dollars paid to the firm minus payments made to their lower tier subcontractor(s).

⁴Eligible Commitment Value for Vendors reflect the total dollars paid to the vendor from inception through the end of the reporting period. Eligible Commitment Values for Subcontractors reflect original contract value inclusive of all Authority approved change order activity minus commitment value for their lower tier subcontractor(s).

⁵Prepared on 9/1/2016 for September 2016 Construction Update

⁶Pending SB Commitments are firms for which DFJV has submitted Request to Add forms, but that have not yet been approved for SB crediting by the Authority.

⁷Unsolidified Commitments are SBs that are listed in DFJV's Small Business Performance Plan but that do not yet have an executed subcontractor agreement with DFJV.

Memorandum

DATE: 7/5/16
TO: Lisa Marie Alley, Chief of Communications
FROM: Alice Rodriguez, Small Business Advocate
SUBJECT: Small Business Program Summary – June 2016

Small Business Program outreach activities for June focused on meeting and uniting with external partners to maintain and build new partnerships and undertake additional training for the Small Business Outreach team.

The small business team continues to focus on strengthening the Small Business Program, including enhancements to small business policies and procedures and the Small Business Program Plan. The small business team is looking to update the 2012 Small and Disadvantaged Business Enterprise Program. The revision will emphasize further explanation of certain small business concerns, as well as provide more clarity throughout the entire program.

The small business team continues to move forward with developing a new Small Business Workshop format that will include our prime design-build partners.

Lastly, the team has also been working to advance the Vendor Registry. User acceptance testing will commence the second week of July. We anticipate a soft launch of the online database the weeks after. The communications team has sketched a blueprint of a coordinated communications rollout plan.

Outreach Overview

- Information Officer, Ricci Graham, participated in Santa Clara Valley Transportation Authority's (VTA's) event, Business Diversity Expo, on June 14 in San Jose. The expo targeted businesses owned by minorities and women, disabled veterans and business owners of the LGBT community. The Authority secured an exhibit booth to inform interested businesses about upcoming contracting opportunities and additional networking openings.
- On June 16, the Authority hosted their bi-monthly Business Advisory Council meeting. The Authority invited Chief Financial Officer, Russell Fong to participate. Mr. Fong provided detailed information concerning Prompt Payment and addressed all Prompt Payment questions.

- Small Business Advocate, Alice Rodriguez, was joined by small business team members Ricci Graham and Meilani Sabadlab at the VTA, Disadvantaged Business Enterprise Study Workshop on June 23 in San Francisco, CA. The Authority was asked to present on how to get involved with the California High-Speed Rail, as well as participate in the contract availability and Disadvantage Business Enterprise (DBE) utilization study. Interested businesses were able to learn more about the DBE certification process and ask direct questions to the small business team.
- On June 29, the Authority hosted a Pre-Bid Conference for Request for Qualifications (RFQ) for Geotechnical Site Investigation Services for the Silicon Valley to Central Valley line, at the DGS building in West Sacramento. Alice Rodriguez provided a presentation of the Authority's aggressive 30 percent small business goal. Karen Massie, Information Officer and Meilani Sabadlab also staffed a table to disseminate information and address any small business questions and concerns.
- Alice Rodriguez and the northern small business team joined the Business Outreach Committee (BOC). The BOC is comprised of various transportation agencies within the bay area. Some of these agencies include: BART, SamTrans/Caltrain, AC Transit and VTA. The goal of the BOC is to assist small, disadvantaged and local firms in doing business with bay area transportation agencies. The BOC host monthly meetings via conference and in-person. Being part of the BOC allows the Authority to network and expand their small businesses audience. The Authority looks forward to developing their relationship with the BOC and its members.

External Partner Meetings

- Small Business Advocate – Alice Rodriguez and Small Business Associate – Meilani Sabadlab met with Account Manager – Gary Barsdorf of Hynes Industries on June 21 at the Sacramento headquarters. The Small Business team provided Gary with an overview of the California High-Speed Rail Program including small business requirements.

Memorandum

DATE: 7/29/16
TO: Lisa Marie Alley, Chief of Communications
FROM: Alice Rodriguez, Small Business Advocate
SUBJECT: Small Business Program Summary – July 2016

Small Business outreach activities for July continued to focus on meeting and uniting with external partners to maintain and build new partnerships.

The team continues to advance the Vendor Registry. User acceptance testing commenced the last week on July. We anticipate a soft launch of the online database toward the end of August or early September. The communications team has already sketched a blueprint of a coordinated rollout plan.

Moving forward, the small business team is prepared and excited to roll out their new process for Small Business Workshops. The team will be hosting a “meet the primes” outreach event in the Nor Cal Region. This event will take place Tuesday, August 23 at the Arrillaga Family Recreation Center from 10:00 AM – 1:00 PM, in Menlo Park and will be in partnership with local transportation agencies like VTA, BART, SamTrams, and more.

Small Business Outreach Overview

- On July 8, Alice Rodriguez and Small Business Associate, Meilani Sabadlab participated in their first Business Outreach Committee (BOC) teleconference. The BOC is a consortium of 19 Bay Area transportation agencies with a common goal to assist small and disadvantaged local firms in doing business on local transportation projects. The BOC invited the Authority to join their consortium and will partner with many of them at the Small Business workshop on August 23.
- Convened the bi-monthly teleconference with the Federal Railroad Administration (FRA) on July 8. We provided a status update concerning the project, the Small and Disadvantaged Business Enterprise Program, Small Business utilization and compliance and Title VI.
- Information Officer, Karen Massie, and Meilani Sabadlab participated in an all-day event on July 12 hosted by the Disabled Veteran Business Alliance. The 2016 Information Technology and Professional Services Expo was held in San Ramon. The Small Business Team attended the DVBA’s panel, “An Insider’s Guide to Successful Contracting in the IT and Professional Services Markets.” Additionally, Karen conducted one-on-one match making sessions with 10+ small businesses. Whereas Meilani staffed a booth and engaged with over 30 small businesses and responded to general public inquiries.

- Alice Rodriguez participated in the Small Business Administration's (SBA's) Government Contracting workshop for Small Businesses in Fresno on July 21. The workshop was free to all interested small businesses and suggested ways for a small business to complete the procurement process and compete effectively for Federal and State contracts – including High-Speed Rail contracting opportunities. There was an overview of SBA's various contracting program including the 8(a) Program and HUBZone Program, the SBA Surety Bond Program, along with contracting information for women-owned and veteran-owned businesses. Representatives from Construction Package 2-3 and Construction Package 4 were also in attendance to discuss current and future small business opportunities.
- On July 27, Alice attended the SBA's 4th Annual Los Angeles District – Office Faith-Based Small Business Summit. The Summit was a free and educational event available to local small businesses. The Summit featured a Resource Fair which included community and government based organizations that discussed: business growth strategies, financial access, risk management, and emergency preparedness information.
- Also on July 27, Diana Gomez – Central Valley Regional Director was joined by Elizabeth Jonasson – Information Officer at the 2016 Valley Small Business Construction Diversity Expo in Bakersfield. Diana participated as a keynote speaker and Elizabeth staffed a booth. The event was hosted by the Valley Small Business and Construction Report, which is a weekly publication designed to give industry professionals an inside scoop regarding opportunities within the Western states.
- The 11th Annual, 2016 California Construction (CalCon) Expo took place on July 28 in Long Beach. Small Business team members from Southern California, Rachel Kesting and Kevin Alvarado, staffed a table while Alice participated in a panel alongside representatives from Caltrans, California Department of Corrections and Rehabilitation and the Department of General Services. CalCon was the premiere gathering for contractors, designers, construction professionals, suppliers and construction workers. There were over 1,500 attendees at the event. This event supports the effort to educate and promote the Small Business and Disabled Veteran Business Enterprise community within California.
- Elizabeth Jonasson attended the State Route 99 Project Job Workshop on July 29, hosted by the SBA. Elizabeth provided information and answered questions about jobs on the High-Speed Rail Project – including creating jobs opportunities for disadvantaged workers.

External Partner Meetings

- Alice Rodriguez and Meilani Sabadlab met with President and Senior Railway Engineer – Antonio Arribas of European Railway Interoperability Institute on July 20, at the Sacramento headquarters. Antonio showcased information about a trade show his firm is hosting – High-Speed Technology California, Conference and Trade Show, in March 2017. The Conference is meant to be the biggest international trade show on High-speed Rail technologies in the USA. The conference will bring firms from all over the world, together in Los Angeles at the Convention Center. Additionally, Alice provided Antonio with an overview of the small business program.

Business Advisory Council

The Business Advisory Council (BAC) held their last meeting on Thursday, June 16, 2016 at the Department of General Services Building, in West Sacramento. The meeting included presentations and reports of the following:

- Prompt Payment Presentation – Russell Fong, Chief Financial Officer
- Small Business Program Summary – Alice Rodriguez, Small Business Advocate
- Contract Compliance Update – Austin Kidwell
- High-Speed Rail Project Update
 - Lisa Marie Alley, Chief of Communications
 - Darin Kishiyama, Supervising Transportation Engineer
- Construction Package (CP) Updates
 - Construction Package 1 – Maurice Young, TPZP
 - Construction Package 2-3 – John James, Dragados Flatiron
 - Construction Package 4 – Amanda Craft California Rail Builders
- Planning Meeting Committee Updates
 - Construction Update – Diana LaCome
 - Professional Services – Linden Nishinaga

Complete BAC minutes and member packets can be viewed under the Small Business webpage of the Authority website, www.hrs.ca.gov.

The Small Business team is already preparing for the next BAC meeting scheduled for Thursday, August 18, 2016 in West Sacramento. In addition to the August meeting, the team is also preparing for the October BAC construction tour. The council will tour active construction sites in Fresno, CA on Thursday, October 20.

Moving forward, the Authority is planning to work with the BAC committee chairs to enhance existing policies and procedures, including revamping the Operating Guidelines. Together, we will develop new and innovative approaches to improve the overall success of the Business Advisory Council.

Below is a compiled list of requests and/or goals submitted by the BAC members based on the last Business Advisory Council meeting:

Completed Requests	Request Date	Status Detail	Status
Breakdown of Caltrans' contract (SR-99) by small business goal, small business certification and the dollar value for each sub consultants' year to date expenses.	April 20, 2016	The document was included in the BAC member packet during the June 16, 2016 BAC meeting.	Closed - June 16, 2016
Requested to have the Chief Financial Officer (CFO) attend more BAC meetings.	June 16, 2016	Council Chair Alley acknowledged the request during the June 16, 2016 meeting and proposed to have the CFO attend one (1) BAC meeting per year.	Closed - June 30, 2016

Pending Request	Request Date	Status Detail	Status
Requested contract specific utilization for Construction Packages - break down of the Primes' sub-contractors	April 20, 2016	Creating two (2) reports to reflect the specifics of the BAC's request. The information will be distributed following the June 16, 2016 meeting. The reports cover the respective Construction Packages (CP), CP 1 and CP 2-3 small business utilization.	Pending
Request the Authority to provide payment notifications when Primes are paid, either by email or posted on our website.	June 16, 2016	We are working with the CFO and the Finance and Audit Committee, to create a system to improve their current method(s) to ensure this action comes to fruition and will notify the BAC members when the new system is implemented.	Pending
Requested to know the percentage of Professional Services within SR-99's overall utilization and invite Caltrans personnel to attend one (1) future BAC meeting.	June 16, 2016	We will research this information.	Pending
Requested a decision from the Authority regarding adopting the Safe Harbor Rates program.	June 16, 2016	We will work to fulfill this request.	Pending
Requested for travel reimbursement for the Business Advisory Council members.	June 16, 2016	The team will conduct research for possible consideration of amending the travel reimbursement policy.	Pending
BAC members are interested in learning more about Title VI. BAC requested to conduct a Title VI presentation at the next BAC meeting on August 18, 2016.	June 16, 2016	The Title VI team will deliver an update on Title VI activities at the August 18, 2016 Council meeting.	Pending
Requested an Authority staff member with construction and engineering expertise to attend the Construction Committee meetings.	June 16, 2016	Scott Jarvis, Chief Engineer, has identified Dane Hudson – Design Build Manager/Construction Support, who will now attend the Construction Committee Meeting, effective August 18, 2016.	Pending; Tentative Close date – August 18, 2016
Requested the percentage that has gone to small businesses for the design of CP 1.	June 16, 2016	The Authority will collaborate with Maurice Young and have this information available during the next BAC meeting on August 18, 2016.	Pending

Memorandum

DATE: 9/01/16
TO: Lisa Marie Alley, Chief of Communications
FROM: Alice Rodriguez, Small Business Advocate
SUBJECT: Small Business Program Summary – August 2016

This month, the Authority conducted the first of their newly designed, small business outreach workshops. The small business team hosted their small business outreach event in Menlo Park, CA on Tuesday, August 23. The workshop was a huge success. The Authority partnered with members of the Bay Area Business Outreach Committee (BOC), a group of local transportation agencies who work together to promote small business participation with other Bay Area transportation agencies. Attendance was at its largest – the most the team had ever witnessed for a small business workshop. The small business team is eager to replicate these workshops statewide.

Additionally, the small business team continues to advance the vendor registry. The team is currently revamping the Authority's marketing and roll-out policies and procedures. We are on track and prepared to reveal a "sneak-peak" of the database to our Business Advisory Council (BAC) members on Wednesday, September 21 during their scheduled BAC meeting.

Overall, the month of August was geared toward engaging new small businesses to the California High-Speed Rail project. The small business team continues to focus on meeting and uniting with external partners to maintain and build new partnerships.

Small Business Outreach Overview

- Small Business Advocate, Alice Rodriguez, partnered with Information Officer, Ricci Graham and attended the Santa Clara Valley Transportation Authority, (VTA) Disadvantaged Business Enterprise (DBE) Availability and Utilization Study in San Carlos, CA on August 2. The Study was designed to evaluate whether DBE's have had equal access to the VTA's contracting opportunities. Alice presented and provided an overview of the Authority's small business goal, inclusive of a 10 percent DBE goal. This study was open to the public and DBE businesses were encouraged to share their questions and concerns. Additionally, firms were able to inquire about the DBE certification process.
- On August 4, Information officer and Railroad Coordinator, Elizabeth Jonasson and Angel Marquez attended an event hosted by the National Association of Retired and Veteran Railway Employees. The event was held in Fresno, CA with a crowd of about 35 attendees. They gave a presentation providing an overview of the California High-Speed Rail project and Jonasson was able to emphasize the Authority's 30 percent small business goal, inclusive of a 3 percent Disable Veteran Business Enterprise (DVBE) goal. Additionally, Jonasson and Marquez touched on the benefits and impacts to the Central Valley, while addressing questions and concerns.

- Board Member and Vice Chair, Tom Richards provided a progress update and brief overview of the High-Speed Rail project on August 15 to members of the Downtown Fresno Rotary Club. The Rotary Club consists of over 250 members. Information Officer, Toni Tinoco joined Tom to provide informational handouts and aid with answering any questions and concerns.
- In January 2016, the Authority postponed their small business workshop schedule due to the Department of General Services' (DGS') new certification system, Cal eProcure. The Small Business Team – Alice Rodriguez, Karen Massie, Alex McCracken and Meilani Sabadlab – redesigned the format of the Authority's small business workshop to promote partnerships among small businesses and other local transportation agencies. The workshop included a panel consisting of the Authority's Design-Build primes from Construction Package 1, 2-3 and 4. Small businesses were able to speak directly with Primes to learn how their services can be applied specifically to their project section. Additionally, firms had the opportunity to learn about the different kinds of certification processes (SB, DBE and DVBE) as well as inquire about other contracting opportunities outside of the High-Speed Rail project. External resources partners included agencies such as: BART, DGS, GoBiz, Samtrans, VTA and additional members of the Bay Area BOC. Overall, attendance was high (about 50) and the event was a very successful.
- Diana Gomez, Central Valley Regional Officer, gave a presentation at the Taft Kiwanis Club luncheon on August 25 in Taft, CA. She provided a project overview, discussed the impacts and benefits to the Central Valley and addressed any questions and concerns.
- On August 26, Alice Rodriguez attended the California Black Chamber of Commerce 21st Anniversary Ron Brown Business; Economic Summit & Women's Symposium in Los Angeles, CA. Alice was selected to participate as a panelist alongside representatives from Caltrans and DGS. The panel addressed how businesses, large or small, can get connected to state contracts. During the panel, Alice shared positive Authority testimonies which were insightful and inspirational to a crowd of over 200 people. Alice had the support of Rachel Kesting and Kevin Alvarado, Information Officers, to respond to any questions and concerns of the attendees. The overall intention of the event was to train, educate and empower California businesses.

External Partner Meetings

- The small business team did not conduct any external partner meetings this month.

Business Advisory Council

The Business Advisory Council had a standing meeting scheduled for Thursday – August 18, 2016 in Sacramento. However, due to scheduling conflicts the Authority rescheduled the meeting for Wednesday – September 21, 2016.

During the September 21 meeting, we anticipate on having a Title VI presentation to address any BAC Title VI concerns. Presenters will include Deborah Harper – Chief Administrative Officer, Diana Guzman – Equal Employment Opportunity Branch Manager and Alex McCracken – Title VI Program Manager.

The small business team has been working to advance the Vendor Registry, a database mandated by the Federal Railroad Administration. During the September meeting, BAC members will receive a sneak peak of the Vendor Registry, which will be launched publicly by the end of November 2016. The Vendor Registry was designed in part to help assist in facilitating the small business 30 percent goal in the following ways:

1. The registry allows businesses interested in subcontracting opportunities with our design-build and other prime contractors, to enter their information through a quick and easy registration process.
2. The registry also provides Authority potential and current prime contractors the capability to search for businesses interested in working on the High-Speed Rail project.

Below is a compiled list of requests and/or goals produced by the BAC. Since our last meeting back in June 2016, the team has been working to fulfill these goals. The statuses of those requests are also captured below.

Completed Requests	Request Date	Status Detail	Status
Requested to develop a reporting mechanism from the BAC to Authority's Board of Directors.	June 16, 2016	The small business team has incorporated pressing BAC concerns within the monthly Small Business Program Summary. The Small Business Program Summaries are included in the Board of Director member packets. Additionally, the Small Business Advocate will deliver a Small Business Program update to the Board before 2016 year end.	Closed – August 1, 2016

Pending Request	Request Date	Status Detail	Status
Requested contract specific utilization for Construction Packages - break down of the Primes' sub-contractors.	April 20, 2016	Creating two (2) reports to reflect the specifics of the BAC's request. The information will be distributed following the June 16, 2016 meeting. The reports cover the respective Construction Packages (CP), CP 1 and CP 2-3 small business utilization. This report will be included in the September 21, 2016 BAC member packets.	Pending; Tentative Close date – September 21, 2016

Pending Request	Request Date	Status Detail	Status
Request the Authority to provide payment notifications when Primes are paid, either by email or posted on our website.	June 16, 2016	We are working with the CFO and the Finance and Audit Committee, to create a system to improve their current method(s) to ensure this action comes to fruition and will notify the BAC members when the new system is implemented.	Pending
Requested to know the percentage of Professional Services within SR-99's overall utilization and invite Caltrans personnel to attend one (1) future BAC meeting.	June 16, 2016	The team will work with Caltran representatives and have Caltrans provide this information at the October 20 meeting.	Pending
Requested a decision from the Authority regarding adopting the Safe Harbor Rates program.	June 16, 2016	The Authority will work to fulfill this request.	Pending
Requested for travel reimbursement for the Business Advisory Council members.	June 16, 2016	We have conducted research for possible consideration of amending the travel reimbursement policy. This concern will be addressed with the Authority CEO.	Pending
BAC members are interested in learning more about Title VI. BAC requested to conduct a Title VI presentation at the next BAC meeting on September 21, 2016.	June 16, 2016	The Title VI team will deliver an update on Title VI activities at the September 21, 2016 council meeting.	Pending; Tentative Close date – September 21, 2016
Requested an Authority staff member with construction and engineering expertise to attend the Construction Committee meetings.	June 16, 2016	Scott Jarvis - Chief Engineer, has identified Dane Hudson – Design Build Manger/Construction Support, to attend the Construction Committee meetings, effective September 21, 2016.	Pending; Tentative Close date – September 21, 2016
Requested the percentage that has gone to small businesses for the design of CP 1.	June 16, 2016	The Authority will collaborate with Maurice Young and have this information available during the next BAC meeting on September 21, 2016.	Pending; Tentative Close date – September 21, 2016



Quarterly Small Business Utilization Summary Report
Reporting Period: First Quarter January 2016 to March 2016 (Report Issued June 2016)

Contract Number	Active Contracts (Professional Services)	Contract End Date	A. SB Applicable Contract Value	B. Contract Invoiced	C. SB/DBE/DVBE/MB Utilization Commitment	D. Commitment % (D = C/A)	E. SB/DBE/DVBE/MB Invoiced Utilization	F. Utilization % based on Commitment (F = E/C)	G. Utilization % based on Contract Invoiced to Date (G = E/B)
1 HSR08-03 A3	AECOM ¹	6/30/2016	\$ -	\$ 7,540,003.95	\$ -	-	\$ 1,804,933.83	-	23.94%
2 HSR08-05 A3	Parsons Transportation Group ²	6/30/2016	\$ 18,240,000.00	\$ 13,883,979.54	\$ 5,472,000.00	30.00%	\$ 1,798,701.37	32.87%	12.56%
3 HSR08-10 A8	Nossaman LLP	6/30/2016	\$ 13,100,000.00	\$ 8,987,286.91	\$ 3,930,000.00	30.00%	\$ 209,141.60	5.32%	2.33%
4 HSR11-02 ROW1 A2	Bender Rosenthal	6/30/2016	\$ 11,625,000.00	\$ 10,864,325.61	\$ 3,487,500.00	30.00%	\$ 7,608,988.77	218.18%	70.64%
5 HSR11-02 ROW2 A2	Continental Acquisition Services ³	6/30/2016	\$ 11,625,000.00	\$ 10,147,188.51	\$ 5,928,750.00	51.00%	\$ 9,634,280.64	162.50%	84.95%
6 HSR11-02 ROW3 A2	Universal Field Services	6/30/2016	\$ 11,625,000.00	\$ 10,807,316.36	\$ 3,487,500.00	30.00%	\$ 2,747,185.07	78.77%	25.42%
7 HSR11-02 ROW4 A2	Beacon Integrated	6/30/2016	\$ 6,125,000.00	\$ 5,200,257.62	\$ 1,837,500.00	30.00%	\$ 5,041,547.62	214.37%	96.95%
8 HSR11-20	Wong + Harris, JV	12/31/2018	\$ 34,208,888.87	\$ 18,243,377.14	\$ 11,347,888.44	33.17%	\$ 4,263,773.34	37.58%	23.37%
9 HSR13-43 A1	CHEM Hill	1/31/2017	\$ 2,000,000.00	\$ 1,873,831.58	\$ 700,000.00	35.00%	\$ 527,673.57	75.38%	28.16%
10 HSR13-44	TY Lin International	1/31/2019	\$ 46,100,000.00	\$ 26,871,619.28	\$ 18,440,000.00	40.00%	\$ 6,258,087.60	33.94%	23.99%
11 HSR13-45 A1	Precision Engineering	1/31/2017	\$ 1,000,000.00	\$ 744,432.41	\$ 1,000,000.00	100.00%	\$ 626,324.56	62.63%	84.13%
12 HSR13-64 A2	Mark Thomas and Company	4/30/2020	\$ 5,000,000.00	\$ 1,871,033.19	\$ 1,500,000.00	30.00%	\$ 527,780.43	35.19%	28.21%
13 HSR13-65 A2	Hernandez Kroone & Associates	4/30/2020	\$ 5,000,000.00	\$ 2,603,461.23	\$ 5,293,634.00	100.00%	\$ 2,603,461.23	49.18%	100.00%
14 HSR13-67 A2	Chaudhary & Associates ⁴	4/30/2020	\$ 5,000,000.00	\$ 2,124,572.71	\$ 3,600,000.00	72.00%	\$ 2,052,520.70	57.01%	96.81%
15 HSR13-68 A2	ODell Engineering	4/30/2020	\$ 5,293,634.00	\$ 2,608,941.46	\$ 5,293,634.00	100.00%	\$ 2,608,941.46	49.28%	100.00%
16 HSR13-81	ARCADIS, U.S., Inc.	4/30/2019	\$ 71,844,690.00	\$ 9,344,602.77	\$ 22,294,168.88	31.03%	\$ 2,083,572.08	9.34%	22.30%
17 HSR14-01 A1	KPMG LLP	6/30/2017	\$ 11,249,575.00	\$ 10,409,250.98	\$ 33,487.25	3.00%	\$ 2,692,254.75	797.74%	25.86%
18 HSR14-14 ROW1	Continental Field Services	11/30/2018	\$ 5,292,000.00	\$ 776,619.98	\$ 4,237,600.00	80.00%	\$ 702,041.29	16.58%	90.94%
19 HSR14-14 ROW2	Bender Rosenthal, Inc	11/30/2018	\$ 5,095,000.00	\$ 4,346,486.96	\$ 4,177,900.00	82.00%	\$ 3,604,613.67	86.28%	82.93%
20 HSR14-14 ROW3	Associated Right of Way Services	11/30/2018	\$ 4,402,000.00	\$ 2,133,848.11	\$ 2,533,160.00	58.00%	\$ 1,720,985.26	67.41%	80.65%
21 HSR14-14 ROW4	Epic Land Solutions ⁵	11/30/2018	\$ 4,340,000.00	\$ 245,675.87	\$ 2,517,200.00	58.00%	\$ 245,675.87	9.76%	100.00%
22 HSR14-14 ROW5	Hamner, Jewell & Associates ⁶	11/30/2018	\$ 4,227,000.00	\$ 493,370.50	\$ 1,268,100.00	30.00%	\$ 493,370.50	38.91%	100.00%
23 HSR14-14 ROW6	Universal Field Services	11/30/2018	\$ 4,133,000.00	\$ 718,129.02	\$ 1,249,900.00	30.00%	\$ 131,179.90	10.58%	18.27%
24 HSR14-14 ROW7	Briqgs Field Services ⁷	4/30/2018	\$ 1,885,000.00	\$ 1,228,075.75	\$ 1,165,500.00	30.00%	\$ 955,305.75	81.97%	77.72%
25 HSR14-31	AMEC Foster Wheeler	4/30/2018	\$ 1,500,000.00	\$ 372,015.36	\$ 400,000.00	30.00%	\$ 18,535.83	4.12%	4.98%
26 HSR14-39	STV, Inc.	1/31/2020	\$ 51,000,000.00	\$ 11,724,364.98	\$ 18,059,100.00	35.41%	\$ 5,114,639.45	29.41%	45.24%
27 HSR14-42	SENER Engineering and Systems, Inc.	4/23/2020	\$ 56,000,000.00	\$ 15,277,909.48	\$ 16,800,000.00	30.00%	\$ 2,858,057.77	17.01%	18.71%
28 HSR14-66	WSP / Parsons Brinckerhoff	6/30/2022	\$ 700,000,000.00	\$ 59,238,037.27	\$ 210,000,000.00	30.00%	\$ 16,499,722.97	7.86%	27.85%
Subtotal			\$ 1,099,209,420.87	\$ 240,697,204.55	\$ 356,417,721.56	32.42%	\$ 85,633,896.88	24.03%	35.59%

Contract Number	Active Contracts (Construction)	Contract End Date	A. Current Contract Value	B. Dollar Value of JV Contract Paid to Date	C. SB/DBE/DVBE/MB Utilization Commitment	D. Overall SB Commitment % (D = C/A)	E. SB/DBE/DVBE/MB Paid to Date	F. Utilization % based on Commitment (F = E/C)	G. Utilization % based on JV Contract Paid to Date (G = E/B)
1 HSR13-06	Tutor Perini/Zachry/Parsons JV	N/A	\$ 983,202,147.22	\$ 201,317,893.62	\$ 305,928,689.74	31.05%	\$ 32,423,244.55	10.60%	16.11%
2 HSR13-47	Drajeados Flatiron JV	N/A	\$ 1,206,328,320.50	\$ 147,344,046.81	\$ 1,454,276.04	0.12%	\$ 135,564.30	9.32%	0.09%
Subtotal			\$ 2,191,530,467.72	\$ 348,661,940.43	\$ 307,357,365.78	14.03%	\$ 32,558,808.85	10.59%	9.34%

Professional Services SB Utilization by Category			
SB	6.24%	DBE	16.57%
DVBE	2.38%	MB	9.89%
Construction SB Utilization by Category			
SB	1.70%	DBE	3.65%
DVBE	2.85%	MB	1.14%

¹ Utilization in this report is cumulative through March 2016.
² Contract amended with time extension only and no cost increase. Small Business Program goals included in amendment. Voluntary SB utilization captured.
³ Utilization calculated through most recent invoice from November 2015
⁴ Utilization calculated through most recent invoice from February 2016
⁵ Utilization calculated through most recent invoice from January 2016
⁶ Utilization calculated through most recent invoice from December 2015

Report based on best available data through March 2016 unless otherwise stated. Utilization for Professional Services contracts is calculated from amounts invoiced/expended. Utilization for Construction contract is calculated from amounts paid.