

May 27, 2015

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CHIEF EXECUTIVE OFFICER

Calvin Gibson, Civil Rights Administrator  
Office of Civil Rights  
Federal Railroad Administration  
1200 New Jersey Avenue, SE  
Washington D.C. 20509

Subject: Uniform Report of DBE Awards or Commitments and Payments Submission due June 1, 2015

Dear Mr. Gibson:

In compliance with the best practices of Title 49 Code of Federal Regulations Part 26, the California High-Speed Rail Authority (Authority) is pleased to submit its Uniform Report of DBE Awards or Commitments and Payments for the reporting period of October 1, 2014 through March 31, 2015 to the Federal Railroad Administration (FRA) for review.

During this reporting period, the Authority awarded eleven (11) prime consulting contracts worth a total of \$106,919,690 that will receive FRA funds. The Authority is pleased to state that 37.51 percent has been committed to Small Business (SB) concerns, including Disadvantaged Business Enterprises (DBE) and Disabled Veteran Business Enterprises (DVBE). The 37.51 percent commitment encompasses 2.93 percent to Black American firms, 2.26 percent to Hispanic American firms, 2.48 percent to Asian Pacific American firms, 8.21 percent to Subcontinent Asian American firms, 7.61 percent to non-minority female firms, and 14.02 percent to Other firms (which is inclusive of certified SBs and DVBE firms).

As an update to Federal Rules, the Uniform Report contains a new section on payments on ongoing contracts. During this reporting period, the Authority had twenty-eight (28) active, ongoing prime contracts that received federal funds. Of those contracts, five (5) were paid with federal funds during the six-month period for a total of \$7,321,435.21. 80.65 percent of that total was utilized by certified SBs, DBEs, or DVBEs.

One prime contract utilizing federal funds was completed during this reporting period. The Authority's contract with STV ended March 31, 2015. The contract received \$8,627,009.00 of federal funds. 30.45 percent of that total went to certified SBs, DBEs, or DVBEs.

EDMUND G. BROWN JR.  
GOVERNOR



Calvin Gibson  
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Please do not hesitate to contact José Camarena, Small Business Advocate and Director of Contract Compliance, at (559) 375-3950 or [jose.camarena@hsr.ca.gov](mailto:jose.camarena@hsr.ca.gov) should there be any questions regarding this submission.

Sincerely,



JEFF MORALES  
Chief Executive Officer

Enclosure: Uniform Report of DBE Awards or Commitments and Payments June 2015

cc: José Camarena, Small Business Advocate and Director of Contract Compliance

General Reporting

UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS									
**Please refer to the Instructions sheet for directions on filling out this form**									
1 Submitted to (check only one):	<input checked="" type="checkbox"/> FRA		<input type="checkbox"/> FHWA		<input type="checkbox"/> FAA		<input type="checkbox"/> FTA--Recipient ID Number		
2 AIP Numbers (FAA Recipients); Grant Number (FTA Recipients)									
3 Federal fiscal year in which reporting falls:	FY 2014/2015				4. Date This Report Submitted: June 1, 2015				
5 Reporting Period	<input checked="" type="checkbox"/> Report due June 1 (for period Oct. 1 - Mar. 31)				<input type="checkbox"/> Report due Dec. 1 (for period April 1-Sept. 30)				
6 Name and address of Recipient:									
7 Annual DBE Goals(s):	Race Conscious Projection - N/A			Race Neutral Projection - 10%			OVERALL Goal - 30%		

Awards/Commitments this Reporting Period										
A	AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (total contracts and subcontracts awarded or committed during this reporting period)	A	B	C	D	E	F	G	H	I
		Total Dollars	Total Number	Total to DBE/Other (dollars)	Total to DBE/Other (number)	Total to DBE/Other - Race Conscious (dollars)	Total to DBE/Other -Race Conscious (number)	Total to DBE/Other - Race Neutral (dollars)	Total to DBE/Other - Race Neutral (number)	Percentage of total dollars to DBE/Other
8	Prime contracts awarded this period	\$ 106,919,690.00	11	\$ 9,206,115.00	7			\$ 9,206,115.00	7	8.61%
9	Subcontracts awarded/committed this period	\$ 30,901,403.88		\$ 30,901,403.88	57			\$ 30,901,403.88	57	28.90%
10	TOTAL			\$ 40,107,518.88	64			\$ 40,107,518.88	64	37.51%

Contracts Awarded to DBEs this Period										
B	Breakdown by Gender & Ethnicity	A	B	C	D	E	F	Percentage to DBE/Other		
		Total to DBE/Other (Dollar Amount)			Total to DBE/Other (Number)			Percentage to DBE/Other		
		Women	Men	Total	Women	Men	Total	Women	Men	Total
11	Black American	\$ 723,650.00	\$ 2,406,039.63	\$ 3,129,689.63	3	4	7	0.68%	2.25%	2.93%
12	Hispanic American	\$ -	\$ 2,416,297.00	\$ 2,416,297.00	0	3	3	0.00%	2.26%	2.26%
13	Native American	\$ -	\$ -	\$ -	0	0	0	0.00%	0.00%	0.00%
14	Asian-Pacific American	\$ -	\$ 2,651,780.50	\$ 2,651,780.50	0	3	3	0.00%	2.48%	2.48%
15	Subcontinent Asian Americans	\$ -	\$ 8,782,212.25	\$ 8,782,212.25	0	2	2	0.00%	8.21%	8.21%
16	Non-Minority	\$ 8,134,389.50		\$ 8,134,389.50	13		13	7.61%		7.61%
17	Other*			\$ 14,993,150.00			36			14.02%
18	TOTAL			\$ 40,107,518.88			64			37.51%

Payments Made this Period							
C	PAYMENTS ON ONGOING CONTRACTS (report activity of ongoing contracts)	A	B	C	D	E	F
		Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBE/Other	Total Payments to DBE/Other	Total Number of DBE/Other Firms Paid	Percent to DBE/Other
19	Prime and Sub contracts currently in progress	28	\$ 7,321,435.21	8	\$ 5,904,992.99	1	80.65%

D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	A	B	C	D	E
		Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE/Other Participation Needed to Meet Goal (Dollars)	Total DBE/Other Participation (Dollars)	Percent to DBEs/Other
20	Race Conscious					
21	Race Neutral	1	\$ 8,627,009.00	\$ 2,588,102.70	\$ 2,626,978.75	30.45%
22	Totals	1	\$ 8,627,009.00	\$ 2,588,102.70	\$ 2,626,978.75	30.45%

23 Submitted By: Jeff Morales, CEO, California High-Speed Rail Authority	24. Signature: [Redacted]	25. Phone Number: 916-324-1541
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\*\*Overall Goal" and "Other" are inclusive of certified small business enterprise and disabled veteran business enterprise prime and