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June 3, 2015

Calvin Gibson, Civil Rights Administrator
Office of Civil Rights
Federal Railroad Administration
1200 New Jersey Avenue, SE
Washington, D.C. 20509

Subject: Uniform Report of DBE Awards or Commitments and Payments Submission due June 1, 2016

Dear Mr. Gibson:

In compliance with the best practices of Title 49 of the Code of Federal Regulations Part 26, the California High-Speed Rail Authority (Authority) is pleased to submit its Uniform Report of Disadvantaged Business Enterprise (DBE) Awards or Commitments and Payments for the reporting period of October 1, 2015 through March 31, 2016 to the Federal Railroad Administration (FRA) for review.

During the reporting period the Authority awarded three prime contracts that will receive federal funds with a combined contract value of $510,491,017. Consistent with the Authority's Small and Disadvantaged Business Enterprise Program, 30 percent of these contracts have been committed to Small Business (SB) concerns, including Disadvantaged Business Enterprises (DBE) and Disabled Veteran Business Enterprises (DVBE). The single largest of these contracts, HSR14-32 California Rail Builders, is $444,427,000 of combined contract value for Construction Package 4. California Rail Builders has committed to meet the Authority's 30 percent small business goal, however, not all the SB, DBE and DVBEs to be utilized can be identified at time of the award because this is a multi-year design-build contract. Under these contracts, the subcontractor work will be allocated as plans are approved. As a result, the majority of the dollars committed on the California Rail Builders contract is listed under the "Other" category under Section B of this report. However, the California Rail Builders has committed to reach a 10% DBE goal over the life of their contract. Additional SB, DBE and DVBE utilization on this contract will be shown in future reports as designs are approved and work is allocated.

During the reporting period, the Authority had 22 active, ongoing prime contracts that received federal funds. DBE/Other firms were the prime contractor on 11 of these contracts. In total

$212,302,042.17 in federal funding was expended on these 22 contracts during the reporting period. Of that total $41,356,491.15 was utilized by certified SBs, DBEs and DVBEs, a utilization percentage of 19.50 percent. However, the $212,050,256.17 includes $94,793,817.76 for Dragados Flatiron Joint Venture (DFJV) on Construction Package 2-3. DFJV is still in the early stages of its contract, with many of its expenditures being administrative costs that cannot be subcontracted, and is in the process of building its small business team. As a result, it only expended $99,515.68 to SB firms in the reporting period.

Only one applicable contract expired during the reporting period, Steele Land and Inspection (Steele) on HSR 14-14 ROW 8. Steele's contract had originally been set to run through 2018. The Authority and Steele mutually agreed to terminate the contract when Steele ceased operations. However, Steele had yet to utilize its small business subcontractors as of the termination date. As a result, no utilization is reported on the Section D of this report. Steele was less than 20 percent through its $3,621,000 contract at the time of termination.

Please contact me, at (559) 445-6764, or Jose.Camarena@hsr.ca.gov should there be any questions or comments regarding this submission.

Sincerely,

Jose Camarena
Contract Compliance Administrator
California High-Speed Rail Authority

Enclosure: Uniform Report of DBE Awards or Commitments and Payments June 2016

cc: Jeff Morales, Chief Executive Officer
Alice Rodriguez, Small Business Advocate

UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS

Please refer to the Instructions sheet for directions on filling out this form

1	Submitted to (check only one):	[X] FRA		[ ] FHWA		[ ] FAA		[ ] FTA--Recipient ID Number	
2	AIP Numbers (FAA Recipients); Grant Number (FTA Recipients)								
3	Federal fiscal year in which reporting falls:	FY 2015/2016		4. Date This Report Submitted: June 1, 2016					
5	Reporting Period	[X] Report due June 1 (for period Oct. 1 - Mar. 31)		[ ] Report due Dec. 1 (for period April 1-Sept. 30)					
6	Name and address of Recipient:								
7	Annual DBE Goals(s):	Race Conscious Projection - N/A		Race Neutral Projection - 10%			OVERALL Goal - 30%		

Awards/Commitments this Reporting Period

		A	B	C	D	E	F	G	H	I
A	AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD[1] (total contracts and subcontracts awarded or committed during this reporting period)	Total Dollars	Total Number	Total to DBE/Other (dollars)	Total to DBE/Other (number)	Total to DBE/ Other - Race Conscious (dollars)	Total to DBE/ Other -Race Conscious (number)	Total to DBE/ Other - Race Neutral (dollars)	Total to DBE/ Other - Race Neutral (number)	Percentage of total dollars to DBE/Other
8	Prime contracts awarded this period	$ 510,491,017.00	3	$ -	0			$ -	0	0.00%
9	Subcontracts awarded/committed this period			$ 154,697,686.53	36			$ 154,697,686.53	36	30.30%
10	TOTAL			$ 154,697,686.53	36			$ 154,697,686.53	36	30.30%

		Contracts Awarded to DBEs this Period								
B	Breakdown by Gender & Ethnicity[1]	A	B	C	D	E	F			
		Total to DBE/Other (Dollar Amount)			Total to DBE/Other (Number)			Percentage to DBE/Other		
		Women	Men	Total	Women	Men	Total	Women	Men	Total
11	Black American	$ -	$ 288,000.00	$ 288,000.00	0	1	1	0.00%	0.06%	0.06%
12	Hispanic American	$ 2,606,242.99	$ 871,856.49	$ 3,478,099.49	2	2	4	0.51%	0.17%	0.68%
13	Native American	$ -	$ -	$ -	0	0	0	0.00%	0.00%	0.00%
14	Asian-Pacific American	$ 216,000.00	$ 1,188,000.00	$ 1,404,000.00	1	2	3	0.04%	0.23%	0.28%
15	Subcontinent Asian Americans	$ -	$ 3,439,103.49	$ 3,439,103.49	0	3	3	0.00%	0.67%	0.67%
16	Non-Minority	$ 3,173,146.97		$ 3,173,146.97	7		7	0.62%		0.62%
17	Other*			$ 142,915,336.59			18			28.00%
18	TOTAL			$ 154,697,686.53			36			30.30%

Payments Made this Period

		A	B	C	D	E	F
C	PAYMENTS ON ONGOING CONTRACTS (report activity of ongoing contracts)[2]	Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBE/Other	Total Payments to DBE/Other	Total Number of DBE/Other Firms Paid	Percent to DBE/Other
19	Prime and Sub contracts currently in progress	22	$ 212,302,042.17	11	$ 41,356,491.15	11	19.48%

		A	B	C	D	E
D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD[3]	Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE/Other Participation Needed to Meet Goal (Dollars)	Total DBE/Other Participation (Dollars)	Percent to DBEs/Other
20	Race Conscious					
21	Race Neutral	1	$ 306,748.70	$ 92,024.61	$ -	0.00%
22	Totals	1	$ 306,748.70	$ 92,024.61	$ -	0.00%
23	Submitted By: Jose Camarena, Contract Compliance Administrator		24. Signature:		25. Phone Number: 559-445-6764	

NOTES

* "Overall Goal" and "Other" are inclusive of certified small business enterprise and disabled veteran business enterprise prime and subcontractors; race neutral 10 percent projections reflects 10 percent DBE goal.

1 This report includes HSR14-32 California Rail Builders which is a multi-year design-build contract that represents $444,427,000 out of the $510,491,017 reported total in Line 8, Column A. This contractor has committed to meet the 30 percent DBE/Other goal, however the specific firms to be utilized to meet the goal will be identified at later stages as the design-build contract progresses. As a result the majority of the dollars available for future DBE/Other utilization is identified in the "other" category in line 17, column C. The DBE/Other future utilization will be reported in subsequent reports under "Payments on Ongoing Contracts (report activity of ongoing contracts)."

2 The dollar amount under "Total Dollars Paid" (Line 19 Column B) includes $94,793,817.76 in federal funding for HSR13-57 Dragados Flatiron Joint Venture (DFJV). DFJV is in the early stages of its multi-year design-build contract which has thus far included significant expenditures that could not be subcontracted. As a result, it expended only $99,515.68 to "DBE/Other" for the reporting period. Without the DFJV figures included the "Total Dollars Paid" would be reduced to $117,508,224.41 and the "Total Payments to DBE/Other" (Line 19, Column D) would be at $41,256,975.47, resulting in a "Percent to DBE/Other" (Line 19, Column F) at 35.11 Percent. The 19.5 percent identified on this report will rise as the design-build contractors build out their small business teams.

3 The only applicable contract to expire during the reporting period was HSR14-14 ROW 8 Steele Land and Inspection, LLC. This contract was originally set to expire in 2018, but was terminated by the mutual agreement of the Authority and the Prime Contractor in February 2016. At the time of termination, the prime contractor had not yet utilized its DBE/Other subcontractors. Steele was less than 20 percent through its $3,621,000 contract at the time of termination.