



**SMALL AND DISADVANTAGED
BUSINESS ENTERPRISE PROGRAM**

CALIFORNIA HIGH-SPEED RAIL AUTHORITY

MAY 17, 2012

SMALL BUSINESS POLICY

It is the policy of the Authority to ensure SBs as defined by Government Code 14837, Military and Veterans Code 999 and Disadvantaged Business Enterprises as defined by 49 CFR Part 26; are afforded every opportunity to participate in the Authority's contracting program. The Authority strives to meet an overall 30% SB participation goal, representative of firms that reflect the diversity of California.

The Authority's overall Small Business goal of 30%, is inclusive of small, disadvantaged, minority and women-owned businesses, disabled veteran businesses and microbusinesses, as recognized by the S/DBE Program.

PROGRAM OBJECTIVES

- Ensure participation by SB concerns that meet the defined criteria;
- Provide maximum practicable opportunities for SBs;
- Ensure best practices are implemented, consistent with, Title VI of the Civil Rights Act of 1964 and 49 Code of Federal Regulations Part 26; our nation's Civil Rights and Equal Opportunity laws that ensure all individuals regardless of race, gender, age, disability and national origin benefit from activities funded by Federal financial assistance;
- Ensure Contractors meet the established SB goals, including developing a SB Performance Plan , as deemed necessary;
- Ensure non-discrimination in the award and administration of all contracts inclusive of DOT – assisted contracts;
- Create a level playing field in which SBs can compete fairly for all Authority contracts and subcontracts;

PROGRAM OBJECTIVES (CON'T)

- Ensure the SB Program is implemented in accordance with applicable State and Federal laws and regulations;
- Ensure that only firms that fully meet Government Code 14837, Military and Veterans Code 999 and 49 CFR Part 26 eligibility standards are permitted to be counted towards meeting the overall SB goal;
- Help remove barriers for the participation of SBs;
- Assist in the development of existing SB firms—enabling the firms to compete successfully in the market place;
- Ensure Contractors meet the established SB goals, including developing a SB Performance Plan , as deemed necessary;
- Ensure subcontract solicitation and subcontract documents include the SB Program and goal requirements;
- Ensure the SB Program is flexible, attainable, efficient and credible; and
- Ensure a workforce to be reflective of the diversity of California.

S/DBE PROGRAM COMPONENTS

The S/DBE Program components include the following:

- Title VI of the Civil Rights Act of 1964, Non-Discrimination Requirements.
- Overall Small Business goal of 30%
- A new component of the program is the inclusion of a 3% DVBE goal within the overall 30% SB goal.

S/DBE PROGRAM COMPONENTS (CON'T)

- Data Collection and Reporting – Form 103 designed to effectively capture, track and monitor SB Commitment and actual utilization
- Apply Prompt Payment and Retention Mechanisms
- Contract Monitoring and Compliance
 - Monitoring Contractor's Responsiveness and Enforcing Program Requirements
- Administrative Remedies/Sanctions
- Good Faith Efforts
- Substitution or Termination of a SBs
- Design-Build Provisions

30% SB GOAL

The Authority's overall SB goal of **30% can** be achieved through the utilization, in any combination and at any tier level of small business concerns, inclusive of a 3% DVBE goal.

The Authority recognizes a small business concern to include certified:

- Small Business
- Disadvantaged Business Enterprise
- Disabled Veteran Business Enterprise
- Microbusiness

While the Authority is not a SB certifying agency, the Authority recognizes the SB certifications from the California Unified Certification Program, California Department of General Services and the U.S. Small Business Administration.

Links to the Directories of Certified SBs:

- http://www.dot.ca.gov/hq/bep/find_certified.htm
- <http://www.bidsync.com/DPXBisCASB>
- <https://www.bpn.gov/ccr/>

SB PROGRAM

Today, the Authority hosts this very important event to ensure:

- SB have every opportunity to meet and network with the premier Shortlisted Design Build Teams during this early stage of the procurement process
- SBs and Shortlisted Design Build Teams have access to the Authority's SB Resource Partners to better acclimate themselves with available resources
- SB Program intended goals and objectives are successfully achieved through partnering, networking and marketing

SB RESOURCES

The Authority's Partnering Exhibitors include:

- U.S. Department of Commerce—Minority Business Development Agency
- U.S. Small Business Administration
- California Department of Veterans Affairs
- California Department of General Services
- California Department of Transportation
- Five (5) Shortlisted Partner Design Build Teams

CHSRA SB PROGRAM TEAM

CONTACT INFORMATION:

ADDRESS:

770 L Street, Suite 800
Sacramento, California 95814

PHONE:

916-431-2930

EMAIL:

SBProgram@hsr.ca.gov