CALIFORNIA High-Speed Rail Authority

Memorandum

DATE: July 15, 2016

TO: Carol Berkeley, Executive Assistant, California State Transportation Agency

FROM: Lisa Marie Alley, Chief of Communications

SUBJECT: 2015-16 Consolidated Annual Report (CAR)

The California High-Speed Rail Authority is pleased to submit the 2015-16 Consolidated Annual Report.

Attached please find the requested documents outlined in your June 17, 2016 memo:

- Cover Memo
- STD 810 Contracting Activity Report
- STD 810A Infrastructure Bond Activity Report
- STD 810C Consulting Services Report
- STD 810S SB/DVBE Option and DVBE Incentive Report
- STD 810E Ethnicity, Race and Gender (ERG) Report

Questions related to the report can be directed to Alice Rodriguez, Small Business Advocate at (916) 330-5672 or Alice.Rodriguez@hsr.ca.gov.

CALIFORNIA
High-Speed Rail Authority

July 15, 2016

BOARD MEMBERS

Dan Richard
CHAIR

Thomas Richards
VICE CHAIR

Lou Correa

Daniel Curtin

Bonnie Lowenthal

Lorraine Paskett

Michael Rossi

Lynn Schenk

Jeff Morales
CHIEF EXECUTIVE OFFICER

EDMUND G. BROWN JR.
GOVERNOR

Department of General Services
Procurement Division, Office of Small Business & DVBE Services
707 3rd Street – Room 1-400
West Sacramento, CA 95605

The California High-Speed Rail Authority (Authority) has enclosed its fiscal year 2015-2016 Contracting Activity Report (CAR). This report covers the contracts funded by the State of California, awarded by the Authority during the reporting period.

The following Information relates to our Small Business (SB) participation, including Microbusiness (MB) and Disabled Veteran Business Enterprise (DVBE) participation for the last three fiscal years (rounded to the nearest dollar):

Fiscal Year	Total Contract $	SB/MB $	SB/MB %	DVBE $	DVBE %
2015-16	$55,346,986	$16,740,390	30.25%	$5,399,665	9.76%
2014-15*	$821,471,476	$167,872,046	20.51%	$25,621,112	3.12%
2013-14*	$1,461,045,491	$375,854,218	25.60%	$40,836,620	2.80%

* Includes both state and federally funded contracts

Achieving the goals for SB/DVBE participation continues to be a major goal for the Authority. The Authority is pleased to report that our state funded contracts have significantly exceeded our goals of 30% Small Business participation and 3% Disabled Veteran Business Enterprise participation.

As you are aware, most of the contracts procured by the Authority are federally funded and therefore subject to mandatory federal policies and procedures, which do not lend themselves to the Department of General Services CAR reporting guidelines. Per instructions from the Department of General Services, those contracts are not included in this report. However they were included in the two previous reporting years which accounts for the variation in contracted dollars.

We assure you however, that the Authority continues to strive for an overall 30% participation goal, inclusive of a Disadvantaged Business Enterprise certifications applied to federal contracts.

If you have any questions or concerns, please contact me at (916) 330-5672 or Alice.Rodriguez@hsr.ca.gov.

Sincerely,

Alice Rodriguez
Small Business Advocate

cc: Carol Berkeley, California Transportation Agency
Jeff Morales, Chief Executive Officer, CHSRA
Lisa Marie Alley, Chief of Communications, CHSRA

Attachments:

STD 810 Contracting Activity Report
STD 810A Infrastructure Bond Activity Report
STD 810C Consulting Services Report
STD 810S SB/DVBE Option and DVBE Incentive Report
STD 810E Ethnicity, Race, and Gender (ERG) Report

Form 810 Contracting Activity Report Form (Rev. April 2015)
CONTRACTING ACTIVITY REPORT

STATE OF CALIFORNIA-DEPARTMENT OF GENERAL SERVICES
PROCUREMENT DIVISION

Year of Report: 2015-16

Agency: Transportation Agency

Department: California High-Speed Rail Authority

Part 1

Certified Disabled Veteran Business Enterprise (DVBE) Participation in State Contracting Activity

TOTAL CONTRACT AWARDS

CONTRACTING ACTIVITY CATEGORY	Total Contract Dollars*	Total $ to DVBE Prime Contractors	Total $ to DVBE Sub-Contractors	Total DVBE Prime & Subs $	Percentage (Column D divided by Column A)
	A	B	C	D (B+C)	E (D/A)
1. Goods (Non-IT)	$ 92,801	$ 1,925	$ -	$1,925	2.07%
2. Services** (Non-IT)	$ 54,439,938	$ -	$ 5,100,000	$5,100,000	9.37%
3. Construction	$ -	$ -	$ -	$0	0.00%
4. IT (Goods & Services)	$ 732,172	$ 270,614	$ 27,125	$297,740	40.67%
5. Cal-Card Data	$ 82,075				0.00%
TOTALS	**$55,346,986**	**$272,539**	**$5,127,125**	**$5,399,665**	**9.76%**

	DVBE
TOTAL NUMBER OF DVBE CONTRACTS:	24

Part 2

Certified Small Business (SB) / Microbusiness (MB) Participation in State Contracting Activity

TOTAL CONTRACT AWARDS

Total Contract Dollars*		Total $ to SB/MB Prime Contractors		Total $ to SB/MB Sub-Contractors		Total SB/MB Contract Dollars		Percentage (Column J divided by Column G)
G		H		I		J (H+I)		K
$92,801	SB	$ 34,993	SB	$ -	SB	$34,993	SB	37.71%
	MB	$ 26,884	MB	$ -	MB	$26,884	MB	28.97%
$54,439,938	SB	$ 341,528	SB	$ 5,050,000	SB	$5,391,528	SB	9.90%
	MB		MB	$ 10,990,000	MB	$10,990,000	MB	20.19%
$0	SB	$ -	SB	$ -	SB	$0	SB	0.00%
	MB	$ -	MB	$ -	MB	$0	MB	0.00%
$732,172	SB	$ 166,265	SB	$ 3,617	SB	$169,882	SB	23.20%
	MB	$ 118,267	MB	$ -	MB	$118,267	MB	16.15%
$82,075					SB	$ 4,631	SB	5.64%
					MB	$ 4,206	MB	5.12%
$55,346,986	SB	**$542,786**	SB	**$5,053,617**	SB	**$5,601,034**	SB	**10.12%**
	MB	**$145,151**	MB	**$10,990,000**	MB	**$11,139,356**	MB	**20.13%**

	SB	MB	
TOTAL NUMBER OF SB/MB CONTRACTS:	57	58	**30.25%**

Did you report using Award amounts per PCC 10111? ☑ YES ☐ NO

If not, please explain why?

Comments:

Preparer's Information:				*Approver's Information*			
Name (Print):	Alice Rodriguez			Name (Print):	Rachel Weninger		
Title:	Small Business Advocate			Title:	Contract Officer		
Signature:		Date:	7/15/2016	Signature:		Date:	7/15/2016
Telephone	916-330-5672	Fax:	916-322-0827	Telephone:	916-324-9456	Fax:	916-322-0827
Email Address:	Alice.Rodriguez@hsr.ca.gov			Email Address:	Rachel.Weninger@hsr.ca.gov		
Street Address:	770 L Street, Suite 600			City:	Sacramento	Zip:	95814

*The amounts shown in Columns A and G should be the same. If not, submit an explanation with this report.
**Includes Architect and Engineering Services (A&E)
DVBE = Disabled Veteran Business Enterprise SB = Small Business MB = Microbusiness

INCLUDE *Form 810 Supplemental Report , Form 810 A Infrastructure Bond Acts Report, Consulting Services Report and Ethnicity, Race and Gender Reports with submission of the annual report.*

Return Annual Department Reports To:
Department of General Services
Procurement Division/OSDS
Attn: Reports Coordinator
707 3rd Street, Room1-400, IMS Z-1, MS 210
West Sacramento, CA 95605

Form 810A (March 2014)
I Bond Activity Report

State of California - Department of General Services
Procurement Division

Fiscal Year: 2015-16

Agency:	Transportation Agency	Department:	California High-Speed Rail Authority

INFRASTRUCTURE BOND ACTS OF 2006 (AB 761) (GC 14838.1.f)

Report Effective Date: 7/1/08 **Report Due Date Annually: 8/1**

Respond to the following question:

Any contracts funded by the bonds listed below? **Yes** ( ) (Complete the form below) **No** (X) (Form 810 A is complete)

Check all applicable bond(s). Complete Parts 1 & 2.

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act (Proposition 1B)	The Housing and Emergency Shelter Trust Fund Act (Proposition 1C)	The Kindergarten-University Public Education Facilities Bond Act (Proposition 1D)	The Disaster Preparedness and Flood Prevention Bond Act (Proposition 1E)	The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act (Proposition 84)

Part 1

Certified Disabled Veteran Business Enterprise (DVBE) Participation

TOTAL CONTRACT DOLLARS AWARDED USING INFRASTRUCTURE BONDS

Totals	Bond Total Contract Dollars	Bond Total $ to DVBE Prime Contractors	Bond Total $ to DVBE Sub-Contractors	Bond Total DVBE Prime & Subs $	Bond Total DVBE % (Column D divided by Column A)
	A	B	C	D (B+C)	E
	$ -	$ -	$ -	$ -	0.00%

Part 2

Certified Small Business (SB) / Microbusiness (MB) Participation

TOTAL CONTRACT DOLLARS AWARDED USING INFRASTRUCTURE BONDS

	Bond Total Contract Dollars		Bond Total $ to SB/MB Prime Contractors		Bond Total $ to SB/MB Sub-Contractors		Bond Total SB/MB Contract Dollars		Bond Total SB/MB % (Column I divided by Column F)
	F		G		H		I (G+H)		J
	$ -	SB	$ -	SB	$ -	SB	$ -	SB	0.00%
		MB	$ -	MB	$ -	MB	$ -	MB	0.00%
Totals (SB/MB $) & (SB/MB %)							$ -		0.00%

***The Small Business Improvement Plan must include an I Bond Action Plan when participation specific to I Bonds is less than 25%.**

These totals must be included on Form 810 report.

Comments:

Preparer's Information	
Name:	Alice Rodriguez
Title:	Small Business Advocate
Signature:	
Telephone:	916-330-5672
Email:	Alice.Rodriguez@hsr.ca.gov
Date:	7/15/2016

Approver's Information	
Name:	Rachel Weninger
Title:	Contract Officer
Signature:	Rachel Weninger
Telephone:	916-324-9456
Email:	Rachel.Weninger@hsr.ca.gov
Date:	7/15/2016

Form 810S (Supplemental Report) (Rev. March 2014)
CONTRACTING ACTIVITY REPORT

STATE OF CALIFORNIA-DEPARTMENT OF GENERAL SERVICES
PROCUREMENT DIVISION

Annual - Fiscal Year	2015-16

AGENCY:	State Transportation Agency	DEPARTMENT:	High-Speed Rail Authority

DVBE, SB, and MB Option

Part 1 PCC 10111 Mandated	Total Contract Dollars Awarded as a Result of Option:	# OF CONTRACTS AWARDED:	Public Contract Code 10111 Section (e) (7) the number of contracts and dollar amounts awarded annually pursuant to Section 14838.5 (a) and 14838.7 (a) of the Government Code to Disabled Veteran Business Enterprises (DVBEs), Small Businesses, and Microbusinesses (SB/MBs). *NOTE: When entering the contract into the State Contract and Procurement Registration System (SCPRS), contracting method Number 8 is used to capture this data.*
	Column A	Column B	
	$ 427,358.00	12	

Comments

DVBE Incentive

Part 2 M & VC 999.5	Total Contract Dollars Awarded as a Result of DVBE Incentive:	# OF CONTRACTS AWARDED AS A RESULT OF DVBE INCENTIVE:	Participation resulting from Military and Veterans Code Section 999.5 (DVBE Incentive [SB 115]). Calculate the total contract dollars awarded and the number of contracts to all DVBEs as a result of the application of the DVBE Incentive. If your data collection system cannot identify contracts and dollars attributed to the DVBE Incentive, indicate that in the comments box.
	Column A	Column B	
	$ -	0	

Comments

Preparer's Information:				*Approver's Information:*			
Name:	Alice Rodriguez			Name:	Rachel Weninger		
Title:	Small Business Advocate			Title:	Contract Officer		
Signature:		Date:	7/15/2016	Signature:		Date:	7/15/2016
Telephone:	916-330-5672	Fax:	916-322-0827	Telephone:	916-324-9456	Fax:	916-322-0827
Email:	Alice.Rodriguez@hsr.ca.gov			Email:	Rachel.Weninger@hsr.ca.gov		
Address:	770 L Street, Suite 620			City & Zip Code	Sacramento, CA 95814		

Return Consolidated Annual Report To:
Department of General Services
Procurement Division, Reports Coordinator
707 3rd Street, Room 1-400, IMS Z-1, MS 210
West Sacramento, CA 95605

INCLUDE *Form 810, Form 810 A, Consulting Services Report and Ethnicity, Race, Gender and Sexual Orientation Reports with submission of the consolidated annual report.*

Ethnicity, Race, Gender, Sexual Orientation (ERGSO) Report

	Agency:	Transportation Agency	Department:	California High-Speed Rail Authority	
	Fiscal Year:	2015-16	All Contracts		
		Goods	Services	Construction	Totals
		Column A	Column B	Column C	Column D
Part 1	**Ethnicity Classifications**				
	Asian-Indian	$ -	$ -	$ -	$ -
	Black	$ -	$ -	$ -	$ -
	Hispanic	$ -	$ -	$ -	$ -
	Native American	$ -	$ -	$ -	$ -
	Pacific-Asian	$ -	$ -	$ -	$ -
	Other	$ -	$ -	$ -	$ -
	TOTALS	$ -	$ -	$ -	$ -
Part 2	**Race Classifications**				
	American Indian or Alaska Native	$ -	$ -	$ -	$ -
	Asian	$ -	$ -	$ -	$ -
	Black or African American	$ -	$ -	$ -	$ -
	Native Hawaiian or Other Pacific Islander	$ -	$ -	$ -	$ -
	White	$ -	$ -	$ -	$ -
	Other	$ -	$ -	$ -	$ -
	TOTALS	$ -	$ -	$ -	$ -
Part 3	**Gender**				
	Female	$ -	$ -	$ -	$ -
	Male	$ -	$ -	$ -	$ -
	Transgender	$ -	$ -	$ -	$ -
	TOTALS	$ -	$ -	$ -	$ -
Part 4	**Sexual Orientation**				
	Lesbian	$ -	$ -	$ -	$ -
	Gay	$ -	$ -	$ -	$ -
	Bisexual	$ -	$ -	$ -	$ -
	TOTALS	$ -	$ -	$ -	$ -

Comments

Part 5	*Prepared By:*		*Approved By:*	
	Name:	Alice Rodriguez	Name:	Rachel Weninger
	Title:	Small Business Advocate	Title:	Contrat Officer
	Telephone:	916-330-5672	Telephone:	916-324-9456
	Fax:	916-322-0827	Fax:	916-322-0827
	Email Address:	Alice.Rodriguez@hsr.ca.gov	Email Address:	Alice.Rodriguez@hsr.ca.gov
	Signature:		Signature:	

ERGSO Form (Rev. April 2015)

INCLUDE Form 810 and Consulting Services Reports with submission of this report.

Return Consolidated Department Report To:
Department of General Services
Procurement Division - OSDS
Attn: Reports Coordinator
707 3rd Street, Room1-400, IMS Z-1, MS 210
West Sacramento, CA 95605

Important Note: The data contained herein was submitted voluntarily; therefore, it may not accurately depict the departments' overall contracting and purchasing activity.

FORM 810C
CONSULTING SERVICES REPORT
(Rev. March 2016)

Fiscal Year	2015-16

STATE OF CALIFORNIA-DEPARTMENT OF GENERAL SERVICES
PROCUREMENT DIVISION

Agency	Transportation Agency	Department	California High-Speed Rail Authority		Return to:
Address	770 L Street, Suite 620	City	Sacramento	Zip 95814	*Consulting Services Report*
Preparer's Information		Approver's Information			Department of General Services
Name	Alice Rodriguez Title: Small Business Advocate	Name	Rachel Weninger Title Contract Officer		Procurement Division - OSDS
Signature		Signature			Attn: Reports Coordinator
Telephone	916-330-5672 Date 7/15/2016	Telephone	916-325-9456 Date 7/15/2016		707 3rd Street, RM 1-400, IMS Z-1, MS 210
Email	Alice.Roriguez@hsr.ca.gov	Email	Rachel.Weninger@hsr.ca.gov		West Sacramento, CA 95605

	REPORT CODES & AMOUNTS													CONTRACTORS NAME	CONTRACTOR ID Number (Last 4 digits of FEIN or SS #)	# of BIDS	CONTRACT ACTION DATES				CONTRACT PURPOSE & BENEFICIARY
	E	Entered $	BID TYPE Code	LOW BID Y / N	A	Amended $	BID TYPE Code	LOW BID Y / N	C	Completed $	BID TYPE Code	LOW BID Y / N					SIGNED	WORK STARTED	WORK AMENDED	WORK COMPLETED	
Line #	10	$ 761,829,598			10	$ 3,092,490			2	$ 11,477,645						10					
1	x	$ 101,528	5	Y										Enterprise Networking Solutions	4432	2	2/9/16	2/9/16			IT Consulting Services
2	x	$ 40,000,000	1	N										KPMG	5207	2	6/23/16	6/23/16			Financial Advisory/Financial Staff
3	x	$ 10,000,000	1	N										Ernst & Young	5596	2	6/23/16	6/23/16			Financial Advisory (Rank B)
4					x	$ -	7	N						Nossaman, LLP	9542	0			7/8/16		Legal Counsel /Authority Staff
5	x	$ 228,070	7	Y					x	$ 228,070	7	Y		McKinsey & Compay	5213	2	9/9/15	9/9/15		3/9/16	Organizational Assessment
6	x	$ 3,000,000	7	N										Mayer Brown LLP	7220	0	11/17/15	11/17/15			Legal Services
7					x	$ 192,000	3	N						Kadesh & Associates	7264				1/13/16		Strategic Business Planning/State Staff
8					x	$ -	3	N						Donald P Asperger	3409				1/13/16		Environmental Mediation/ Environ Staff
9	x	$ 500,000	7	N										Perkins Coie LLP	1206	0	11/3/15	11/3/15			Legal Services environmental permits
10	x	$ 3,000,000	7	N										Greenberg Traurig, LLP	3083	0	11/4/15	11/4/15			Legal Services Design Build/Construction
11					x	$ -	7	N						Cooley LLP	OO85	0			2/8/16		
12					x	$ -	7	N						Akin Gump Strauss Hauer & Feld	8644	0			5/17/16		
13	x	$ 700,000,000	1	N										Parsons Brinckerhoff Inc	1569	2	7/1/15	7/1/15			Rail Delivery Partner
14					x	$ -	3	N						Kay Axhausen	7008	0			8/13/15		Peer Group Review
15					x	$ -	3	N						Eric J Miller	3313	0			8/13/15		Peer Group Review
16					x	$ 400,000	3	N						Frank Koppelman	1546	0			8/13/15		Peer Group Review
17					x	$ -	3	N						Kenneth Small	1503	0			8/13/15		Peer Group Review
18	x	$ 3,000,000	7	N										Rutan & Tucker, LLP	9027	0	8/12/15	8/12/15			Legal Services Design Build/Construction
19					x	$ 2,500,490	7	N						O'Melveny & Myers LLP	6597	0			8/7/15		Legal Services
20	x	$ 2,000,000	7	N										Baker & Miller PLLC	8690		9/28/15	9/28/15			Legal Services in conjunction with STB
21									X	$ 11,249,575	3	N		KPMG	5207		8/27/14	6/30/16			Financial Advisory/Financial Staff

Insert row(s) above this section.

Agency:	Transportation Agency	Department:	California High-Speed Rail Authority			Return to:
Address:	770 L Street, Suite 620	City:	Sacramento	Zip:	95814	*Consulting Services Report*

Line #	Explanation:
2,3	RFP bid; Line 3 has a secondary contract
4,6, 9	Legal Services
5	Informal Competitive
7, 8	NCB
10,11,12	Legal Services Exempt from bid approved by AG's office
18	Legal Services Exempt from bid approved by AG's office
15,16,17	amended for time
19, 20	Legal services
Insert row(s) above this section	

REPORT CODES & AMOUNTS SUMMARY

	Total Amount	Total Number	LOW BID Y	LOW BID N	BID TYPE CODE 3	BID TYPE CODE 4	BID TYPE CODE 5	TOTAL CMAS & MSA
Entered	$ 761,829,598.00	10	2	8	0	0	1	1
Amended	$ 3,092,490.00	10	0	10	6	0	0	0
Completed	$ 11,477,645.00	2	1	1	1	0	0	0

BID Type Code

1 RFP – Request for Proposal
2 IFB – Invitation for Bid
3 NCB – Non-Competitive Bid
4 CMAS – California Multiple Award Schedules
5 MSA – Master Service Agreement
6 Emergency
7 Other