

Request for Qualifications for ROW Engineering and Survey Support Services for Pacheco Pass

RFQ No.: RFX16-11

Authority's Responses to Offeror's Questions, No. 1

February 21, 2017

No	RFQ Section	Question	Response
1	Section 5, Statement of Requirements	<p>Section 5, Statement of Qualifications Requirements, states "RFQ shall include one original and six hard copies...and one electronic version...on a CD or DVD." However, Attachment A (Minimum Qualifications) of the RFQ states "Did the SOQ include one original and five hard copies..." and "Did the Offeror include one electronic version...on a USB?"</p> <p>Please clarify the number of copies required and whether the electronic version should be submitted on CD or USB.</p>	<p>The Authority requests one original and six hard copies and one electronic version on CD or DVD. This change will be reflected in Addendum 1.</p>
2	Section 5.2, Transmittal Letter	<p>Section 5.2, Transmittal Letter, item 3, states "The Offeror must provide resumes for the Key Personnel. Resumes shall be attached to the Transmittal Letter. Section 5.4.2.2, Key Personnel and Roles, states "Provide resumes for Key Personnel positions identified in the organization and management plan, including Subcontractors/Subconsultants' Key Personnel." Is it the Authority's desire for Key Personnel resumes to be included in both sections (duplicated)?"</p>	<p>No, the Authority requests only one copy of the resumes that the Offeror provides for the Key Personnel identified in the organization and management plan, including Subcontractors/Subconsultants' Key Personnel. Please see Section 5.4.2.2., as to the specific information required in the resumes that are to be attached to the Transmittal Letter. This clarification change will be reflected in Addendum 1.</p>

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3	Section 5.4.2.2, Key Personnel and Roles	Section 5.4.2.2, Key Personnel and Roles, states “Resumes shall be limited to three pages.” Does this mean three pages total for all resumes, or up to three pages each for each of the key positions?	The Authority requests <i>each</i> resume to be limited to three pages and should be keyed to the respective positions on the organization chart and presented in such a way as to particularly highlight the experience on projects or assignments of a similar nature. This clarification change will be reflected in Addendum 1.
4	Section 5.1, General Requirements	Will a Table of Contents be counted toward the 30-page limit?	A Table of Contents will not be counted toward the 30-page limit.
5	Cert. 2, Offeror’s Overall Project Small Business Goal Commitment Affidavit	Does the Cert 2 form need to be notarized? The top part seems to indicate that, but there is no signature line or space for the notary at bottom.	The Authority does not require that the Cert 2 Form be notarized.
6	Section 5.1, General Requirements	The RFQ states “Documents should be prepared in single-spaced type, 12 point font, ...”. Would it be acceptable to use a smaller font size in tables, captions, and/or graphics?	Yes, the Authority will allow smaller font size in tables, captions, and/or graphics, only.
7	Form B: Organizational Conflicts of Interest Disclosure Statement	Our company, as a subconsultant, has done work supporting preliminary engineering for a current contract holder, as well as for an expired contract, on the CAHSR project between San Francisco and Merced. Does this cause a conflict of interest for this Pacheco ROW contract?	In accordance with the Organizational Conflict of Interest provision in this RFQ, Section 3.6, and the Authority’s Conflict of Interest Policy (see http://www.hsr.ca.gov/docs/about/doing_business/Organizational_Conflict_of_Interest_Policy_Final_9152011.pdf), please submit a formal request to the Chief Counsel. If you require an expedited review, please immediately consult the policy and provide the required information to Chief Counsel Thomas.Fellenz@hsr.ca.gov with a copy to attorney Tawnya.Southern@hsr.ca.gov.

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8	Form B: Organizational Conflicts of Interest Disclosure Statement	<p>Conflict of Interest Issues – Is a firm participating in RFQ No.:RFX-11 as a Sub Consultant providing surveying prohibited from providing surveying services to the Design Build Contractors in the same area?</p> <p>For example - Subconsultant, named ABC Engineers, is interested in providing surveying services as a subconsultant on RFX16-11. However, ABC Engineers is more interested in providing surveying services to the design /builder for the same project section. So the question is, if ABC Engineers is a subconsultant to the right of way mapper, are they conflicted out from the design / build team where again their role would be that of a subconsultant providing surveying services? In answering this question it is important to understand the surveying aspect of the question. For the right of way mapper, the subconsultant will be providing landnet and boundary surveys with no consideration of vertical datum. For the design / builder, the subconsultant will be obtaining the vertical datum and other horizontal features, maybe creating a DTM for the project, and construction staking. As a surveyor to the design / builder, the subconsultant will not need to utilize any of the landnet surveys. The first order and second order control for the project is being provided by the High Speed Rail. It is my understanding that the ‘conflicted out’ clause is in place to ensure that no one has an unfair advantage. In this case, since the aspects of the surveying are completely different and the survey for the landnet is not utilized in providing services to the design / builder, we do not see a conflict. But how does the CHSRA see the issue? providing services to the design / builder, we do not see a conflict. But how does the CHSRA see the issue?</p>	<p>In accordance with the Organizational Conflict of Interest provision in this RFQ, Section 3.6, and the Authority’s Conflict of Interest Policy (see http://www.hsr.ca.gov/docs/about/doing_business/Organizational_Conflict_of_Interest_Policy_Final_9152011.pdf), please submit a formal request to the Chief Counsel. If you require an expedited review, please immediately consult the policy and provide the required information to Chief Counsel Thomas.Fellenz@hsr.ca.gov with a copy to attorney Tawnya.Southern@hsr.ca.gov.</p>

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9	Attachment E: Cost Proposal/Rate Sheet Form	Attachment E: Cost Proposal / Rate Sheet Form. We understand that this attachment is not submitted with the response on March 21, 2017, but we had a question. This form is not the one currently used by CHSRA for contracts that include prevailing wage work. It does not have the delta adjustments used to calculate loaded billing rates on prevailing wage work. Will Attachment E will be changed prior to the date of the interview?	Attachment E: Cost Proposal/Rate Sheet Form is provided for reference only. The selected consultant will be able to modify the Cost Proposal/Rate Sheet Form as necessary.
10	Section 5.2, Transmittal Letter	Page 18 of 27 of the RFQ, Section 5.2 Transmittal Letter bullet point 3; it states that the key personnel resumes shall be attached to the transmittal letter. Is there a need to provide these key resumes again in the resume section in order to be evaluated or will be resumes as shown be evaluated in the transmittal letter?	No. The Authority requests only one copy of the resumes that the Offeror provides for the Key Personnel identified in the organization and management plan, including Subcontractors/Subconsultants' Key Personnel. Please see Section 5.4.2.2., as to the specific information required in the resume to be attached to the Transmittal Letter. This clarification change will be reflected in Addendum 1.
11	Section 3.6, Organizational Conflicts of Interest	Can a firm that is on a current CP design build team propose on the surveying contracts?	Thank you for your inquiry, however, conflict of interest determinations are very fact specific and require individual analysis. In accordance with the Organizational Conflict of Interest provision in this RFQ, Section 3.6, and the Authority's Conflict of Interest Policy (see http://www.hsr.ca.gov/docs/about/doing_business/Organizational_Conflict_Interest_Policy_Final_9152011.pdf), please submit a formal request to the Chief Counsel. If you require an expedited review, please immediately consult the policy and provide the required information to Chief Counsel Thomas.Fellenz@hsr.ca.gov with a copy to attorney Tawnya.Southern@hsr.ca.gov.

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12	Section 3.6, Organizational Conflicts of Interest	If a firm is selected to do one of these surveying contracts are they precluded from joining a design builder for one of the upcoming projects?	Thank you for your inquiry, however, conflict of interest determinations are very fact specific and require individual analysis. In accordance with the Organizational Conflict of Interest provision in this RFQ, Section 3.6, and the Authority's Conflict of Interest Policy (see http://www.hsr.ca.gov/docs/about/doing_business/Organizational_Conflict_of_Interest_Policy_Final_9152011.pdf), please submit a formal request to the Chief Counsel. If you require an expedited review, please immediately consult the policy and provide the required information to Chief Counsel Thomas.Fellenz@hsr.ca.gov with a copy to attorney Tawnya.Southern@hsr.ca.gov.
13	Forms and Certifications	Forms and Certifications – Based on the information on the forms and certificates, we think the Offeror and the subconsultants need to complete the following forms. Can you please review and provide direction on which firm needs to complete which forms and certifications? (See below for the attachment to the question.)	A clarification of which entity (Offeror or Subs) is required to complete which forms and certifications will be reflected in Addendum 1 as follows: Required Forms and Certifications: Form A: Schedule of Subcontractor(s)/Subconsultant(s) (Offeror Only) Form B: Organizational Conflicts of Interest Disclosure Statement (Offeror and Subcontractor(s)/Subconsultant(s)) Cert 1: Certification Regarding Miscellaneous State Requirements (Offeror Only) Cert 2: Offeror's Overall Project Small Business Goal Commitment Affidavit (Offeror Only) Cert 3: Iran Contracting Certification (Offeror Only) Cert 4: Darfur Contracting Act Certification (Offeror Only) Cert 5: Major Participant Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion Certification (Offeror and

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			Subcontractor(s)/Subconsultant(s) >\$25,000) Cert 6: Subcontractor Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion Certification (Subcontractor(s)/Subconsultant(s)) Cert 7: Non-Collusion Affidavit (Offeror Only) Cert 8: Equal Employment Opportunity Certification (Offeror, Joint Venture Members and Subcontractor(s)/Subconsultant(s)) Cert 9: Non-Discrimination Certification (Offeror and Subcontractor(s)/Subconsultant(s)) Cert 10: Certification Regarding Lobbying (Offeror Only)		
Forms			Offeror (Prime)	All Subconsultants	Only DVBE Subconsultants
Form A: Schedule of Subcontractor(s)/ Subconsultant(s)			X		
Form B: Organizational Conflicts of Interest Disclosure Statement			X	X	
Form C: Disabled Veteran Business Enterprise Declaration					X
Cert. 1: Certification Regarding Miscellaneous State Requirements			X		

Cert. 2: Offeror's Overall Project Small Business Goal Commitment Affidavit	X		
Cert. 3: Iran Contracting Certification	X		
Cert. 4: Darfur Contracting Act Certification	X		
Cert. 5: Major Participant Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion Certification	X		
Cert. 6: Subcontractor/Subconsultant Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion Certification		X	
Cert. 7: Non-Collusion Affidavit	X		
Cert. 8: Equal Employment Opportunity Certification	X	X	
Cert. 9: Non-Discrimination Certification	X	X	
Cert. 10: Certification Regarding Lobbying	X	X	
Cert. 11: Unruh Civil Rights Act and the Fair Employment and Housing Act	X	X	

NOTE: The above chart is the attachment to the question submitted.

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14	Figure 1: RFQ Section Map	<p>In “Figure 1: RFQ Section Map” in the RFQ the Project No. 16-11 limits are identified as San Felipe Rd. for the western limit and Interstate 5 for the eastern limit. Yet in Section 2.1 Background for the RFQ (page 3 of 27), and in 2.2 SCOPE OF WORK (page 1 of 8), the project limits are described as follows:</p> <p>The western limit is approximately one-half mile south of the intersection of SR 152 and Lovers Lane and the eastern limit is approximately two miles west of Interstate 5 and one-half mile north of the intersection of McCabe Road and Tres Cerritos Boulevard. The Project is bounded by these limits along the alignment. Please be advised that the boundaries of the Project may be modified due to environmental work.</p> <p>Which project limit is correct and current?</p>	<p>The written description of the contract limits included in Exhibit A of Attachment D governs the geographic scope of work of this Agreement. The map provided as Figure 1 is more general and used for illustrative purposes only. A revised map will be included in Addendum 1.</p>
15	Section 3.10, Prevailing Wages	<p>Please provide a copy of the prevailing wages, listed in Section 3.10 of RFQ No.: RFX16-11</p>	<p>Applicable Prevailing Wages at the time of contracting, can be found at the following website: https://www.dir.ca.gov</p>