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July 22, 2013

To: Interested Parties

Subject: Request for Proposals (RFP) HSR12-38  
Disparity Study

Notice is hereby is hereby given that the above RFP is amended as follows:

- Add attachments 1, 2, and 3
- Modify due dates for proposals and deliverables

See attached pages for complete changes.

Except as herein amended, all other terms and conditions shall apply.

Respectfully,

Marcos Hernandez  
Contracts Analyst

EDMUND G. BROWN JR.  
GOVERNOR



**KEY ACTIVITIES AND DATES**

Key activities and times for this RFP are presented below. This is a tentative schedule; please contact the Contracts Office to confirm dates (*all dates and time are based on Pacific Daylight Time*).

<b>ACTIVITY</b>	<b>ACTION DATE</b>
RFP Release	July 1, 2013
Deadline for Written Questions by 4:00 p.m.	<del>July 12, 2013</del> <b>July 23, 2013</b>
Deadline for Answers to Questions by 4:00 p.m.	<del>July 17, 2013</del> <b>July 24, 2013</b>
<b>Deadline to Submit Proposals by 4:00 p.m.</b>	<del>July 22, 2013</del> <b>July 29, 2013</b>
Technical Scoring	<del>July 29, 2013</del> <b>August 5, 2013</b>
Public Cost Proposal Opening (3:00 p.m.)	<del>July 30, 2013</del> <b>August 6, 2013</b>
Notice of Proposed Award	<del>July 31, 2013</del> <b>August 7, 2013</b>
Protest Period Ends	<del>August 7, 2013</del> <b>August 14, 2013</b>
Proposed Contract Start Date	<del>August 22, 2013</del> <b>August 29, 2013</b>
Contract Termination Date	<del>August 21, 2014</del> <b>August 28, 2013</b>

**CONTACT INFORMATION**

Contract Analyst: Marcos Hernandez  
 California High-Speed Rail Authority  
 770 L Street, Suite 800  
 Sacramento, California 95814  
 E-mail: [marcos.hernandez@hsr.ca.gov](mailto:marcos.hernandez@hsr.ca.gov)  
 Telephone number: (916) 403-6928

**QUESTIONS**

During the RFP process, questions for clarification about this RFP must be posted to the Bid Sync website at: [www.bidsync.com](http://www.bidsync.com). Any questions concerning this RFP must be submitted and received by ~~Monday, July 12, 2013~~ **Tuesday, July 23, 2013** at 4:00 p.m. (PDT), on the Bid Sync web site.

All questions or communications related to this RFP must be addressed to Marcos Hernandez, (916) 403-6928 or [Marcos.hernandez@hsr.ca.gov](mailto:Marcos.hernandez@hsr.ca.gov) and **NOT** Authority Board Members, Authority contractor staff or State staff. Any verbal communication with an Authority employee concerning this RFP is not binding on the State and shall in no way alter a specification, term, or condition of the RFP.

**RESPONSES TO THIS RFP**

Responses to this solicitation shall be in the form of a Proposal according to the format described in this RFP. The Proposal shall provide the required documentation as described in this RFP and the Proposal shall document the Proposer's experience, qualifications, project organization, technical expertise and cost to perform the tasks described in the Scope of Work.

**F. SCHEDULE OF KEY TASK DELIVERABLES AND DATES**

**Tasks 1, 3 and 4 shall be completed within 80 business days from date of contract execution.** The contract period is twelve (12) months from the date of final contract execution.

Task	Event/Deliverable	Due Date Based on Estimated Start Date of <del>August 22, 2013</del> <b>August 29, 2013</b>
1	Complete and submit Final Availability and Disparity Study	No later than <del>December 6, 2013</del> <b>December 12, 2013</b>
3	Hold up to 5 Public Participation Meetings from Sacramento to Southern California	No later than <del>September 30, 2013</del> <b>October 7, 2013</b>
4.2	Submit Draft Study to Authority, including anecdotal comments from public participation meetings; for comment/revision. Contractor must submit Study sections and major tasks deliverables as described in Task 4.2 with the goal to complete a draft Study by due date as described herein.	<del>November 15, 2013</del> <b>November 22, 2013</b>
4.3-4.4	Submit Final Study to Authority	<del>December 6, 2013</del> <b>December 12, 2013</b>
5-5.1	Assist Authority-- responses/letters, questions/technical assistance as requested.	START DATE to 2014 (approximately <del>August 21, 2014</del> <b>August 28, 2013</b> ) Contract Termination

**IV. Proposal Format**

**ABOUT THIS SECTION**

This section explains how the proposals will be evaluated. It describes the evaluation stages and scoring of all proposals. A Proposer’s technical proposal will be evaluated and scored based on its responsiveness to the information requested in this RFP.

The entire evaluation process from receipt of proposals to the posting of the Notice of Proposed Award is confidential. This information shall become public record upon the execution of the contract.

**PROPOSAL EVALUATION**

To review and evaluate all proposals, the Authority will organize an Evaluation Committee. The identity of the evaluators will be kept confidential during the selection process. The Authority reserves the right to solicit technical input from other internal and external sources. This technical input will be utilized by the Evaluation Committee during the evaluation of the proposals.

The Technical Evaluation will only be used to determine the qualifying Proposers. Once the Technical Evaluation has been completed, only the cost proposals from the qualifying Proposers will be opened. The final award will be based upon the lowest cost proposal.

### **Required Administrative Forms**

Each Proposer must complete and include the following forms with their proposal:

- A. Contractor Status Form, Attachment 1
- B. Darfur Contracting Act form, Attachment 2
- C. Iran Contracting Act, Attachment 3
- D. Contractor Certification Clauses, ~~Attachment 4~~ page 41
- E. Client References, ~~Attachment 5~~ page 40
- F. ~~Small Business Certification, Attachment 6~~ Small Business/DVBE Certification (from Office of Small Business and DVBE services (OSDS))

### **Previous Availability/Disparity Studies Projects**

The Proposer's team shall have conducted a minimum of five (5) Availability/Disparity studies that included 49 CFR Part 26 as a component. The Proposer may meet this factor with one or more of the Proposer's key team members, which may include its subcontractor(s) past experience.

The information provided will be confirmed with the client references provided in Attachment 5.

### **Client References**

Proposer must provide three (3) references for Availability/Disparity Study projects for which the services were provided, the name of the client for whom services were provided, the name of and a brief description of clients' availability/disparity study, location of the study, and overall size of the study. The Proposer may meet this factor with one or more of the Proposer's key team members, which may include its subcontractor(s) client references.

## **V. Notice of Proposed Award**

### **NOTICE OF PROPOSED AWARD**

The Authority will post a "Notice of Proposed Award" at the Authority's headquarters in Sacramento, and on the Bid Sync Web Site within five (5) business days after the selection of a contractor has been completed.

#### **1. Scoring Scale**

The proposals will be ranked by an Evaluation Committee.

#### **2. Bid Sheet**

Please complete Exhibit B, Attachment I, Page 58.

# ATTACHMENT 1

## CONTRACTOR STATUS FORM RFP HSR12-38

This document provides the Authority with basic information about the Bidder and its key subcontractors.

- Each Bidder must complete, sign and include this attachment in its proposal.

### 1. Bidder Information

Full Legal Name of Bidder \_\_\_\_\_

Business Address \_\_\_\_\_

\_\_\_\_\_  
(street number and name)

\_\_\_\_\_  
(city)

\_\_\_\_\_  
(county)

\_\_\_\_\_  
(state)

\_\_\_\_\_  
(zip code)

Contact Person \_\_\_\_\_ Title \_\_\_\_\_

Telephone \_\_\_\_\_ Fax \_\_\_\_\_

E-mail \_\_\_\_\_

### 2. Type of Entity or Business Organization

Organization Tax ID Number \_\_\_\_\_ How long under current ownership \_\_\_\_\_

Nature of Business Activity \_\_\_\_\_

Number of employees \_\_\_\_\_ Year established \_\_\_\_\_

Legal form of organization (check one):

- |  |  |                              |
|--|--|------------------------------|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Corporation               | <input type="checkbox"/> LLC |
| <input type="checkbox"/> General Partnership | <input type="checkbox"/> Sub-Chapter S Corporation |                              |
| <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Other (describe) _____    |                              |

### 3. Small Business Preference Claim

Is your organization certified as a small business by the State of California, or have you applied for certification?

- No**     **Yes**    If yes, list your OSDS Number \_\_\_\_\_
- Date certified \_\_\_\_\_
- Application submitted to Office of Small Business Certification and Resources on:  
\_\_\_\_\_ (date)

# ATTACHMENT 1

## 4. Disabled Veteran Business Participation Acknowledgement

I certify that I have read and understand the requirements of DVBE participation and understand my obligations in regard to DVBE. I also understand that failure to meet the requirements of the DVBE will cause my proposal to be rejected before evaluation.

YES  NO

## 5. Proposal Contents

Check to indicate the proposal material you are submitting

Technical Proposal  Cost Proposal  
 CD Rom

## 6. Authorization and Certification

I hereby authorize the California High-Speed Rail Authority to make any inquiries necessary to verify the information I have presented in my proposal

I hereby certify to the best of my knowledge and belief that I have read, understand, and do hereby accept the terms and conditions contained in this RFP package, including the provisions of the Agreement Terms and Conditions and, further, I am willing to enter into an agreement with the Commission to conduct the proposed project according to the terms and conditions offered.

I hereby certify to the best of my knowledge that the information contained in this proposal is correct and complete.

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Signature of Authorized Representative

Date

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Typed Name

Title

**ATTACHMENT 2  
DARFUR CONTRACTING ACT**

Pursuant to Public Contract Code section 10478, if a bidder or proposer currently or within the previous three years has had business activities or other operations outside of the United States, it must certify that it is not a "scrutinized" company as defined in Public Contract Code section 10476.

Therefore, to be eligible to submit a bid or proposal, please complete only one of the following three paragraphs (via initials for Paragraph # 1 or Paragraph # 2, or via initials and certification for Paragraph # 3):

1.        \_\_\_\_\_  
              Initials                We do not currently have, or we have not had within the previous three years, business activities or other operations outside of the United States.

**OR**

2.        \_\_\_\_\_  
              Initials                We are a scrutinized company as defined in Public Contract Code section 10476, but we have received written permission from the Department of General Services (DGS) to submit a bid or proposal pursuant to Public Contract Code section 10477(b). A copy of the written permission from DGS is included with our bid or proposal.

**OR**

3.        \_\_\_\_\_  
              Initials  
              + certification        We currently have, or we have had within the previous three years, business activities or other operations outside of the United States, but we certify below that we are not a scrutinized company as defined in Public Contract Code section 10476.  
              below

**CERTIFICATION For # 3.**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective proposer/bidder to the clause listed above in # 3. This certification is made under the laws of the State of California.

<i>Proposer/Bidder Firm Name (Printed)</i>		<i>Federal ID Number</i>
<i>By (Authorized Signature)</i>		
<i>Printed Name and Title of Person Signing</i>		
<i>Date Executed</i>	<i>Executed in the County and State of</i>	

**PROPOSALS WILL BE DISQUALIFIED UNLESS YOUR PROPOSAL INCLUDES THIS FORM WITH EITHER PARAGRAPH # 1 OR # 2 INITIALED OR PARAGRAPH # 3 INITIALED AND CERTIFIED.**

**ATTACHMENT 3  
IRAN CONTRACTING CERTIFICATION**

Section 2200 et seq. of the California Public Contract Code prohibits a person from submitting a proposal for a contract with a public entity for goods and services of \$1,000,000 or more if the person is identified on a list created by the Department of General Services (DGS) pursuant to Section 2203(b) of the California Public Contract Code. The list will include persons providing goods or services of \$20,000,000 or more in the energy sector of Iran and financial institutions that extend \$20,000,000 or more in credit to a person that will use the credit to provide goods or services in the energy sector in Iran. DGS is required to provide notification to each person that it intends to include on the list at least 90 days before adding the person to the list.

In accordance with Section 2204 of the California Public Contract Code, the undersigned hereby certifies that:

It is not identified on a list created pursuant to Section 2203(b) of the California Public Contract Code as a person engaging in investment activities in Iran described in Section 2202.5(a), or as a person described in Section 2202.5(b), as applicable; or

It is on such a list but has received permission pursuant to Section 2203(c) or (d) to submit a bid or proposal in response to this RFP HSR12-38 Disparity Study for the California High-Speed Train System.

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective proposer/bidder to the clause listed. This certification is made under the laws of the State of California.

<i>Proposer/Bidder Firm Name (Printed)</i>	<i>Federal ID Number</i>
<i>By (Authorized Signature)</i>	
<i>Printed Name and Title of Person Signing</i>	
<i>Date Executed</i>	<i>Executed in the County and State of</i>